


Financial Disclosures

06000510729

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS							
<input type="checkbox"/> Amendment							
Last Name CRUZ		First Name and Middle Initial RAFAEL E. (TED)	New Employee Report Date of Employment (mm/dd/yy): Senate Office / Agency in Which Employed				
Senate/Candidate Office Address (Number, Street, City, State, and ZIP) 815A BRAZOS, PMB 530 AUSTIN, TX 78701		Senate/Candidate Office Telephone No. 512-637-8777	Candidate Report Commencement of Candidacy (mm/dd/yy): 1/19/11	State in which you are a candidate TEXAS	Candidate Reporting Period 2011		
AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS							
		YES	NO			YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.		<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, Complete and Attach PART X.		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Each question must be answered and the appropriate PART attached for each "YES" response.							
File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.							
This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104, and 18 U.S.C. § 1001.)						FOR OFFICIAL USE ONLY Do Not Write Below this Line	
Certification <i>I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.</i>		Signature of Reporting Individual 		Date (Month, Day, Year) 7/9/12		SECRETARY OF THE SENATE 12 JUL 12 PM 3:11	
For Official Use Only - Do Not Write Below This Line		Signature of Reviewing Official		Date (Month, Day, Year)			
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.							

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Reporting Individual's Name JED CRUZ	<input type="checkbox"/> Amendment	PART II. EARNED AND NON-INVESTMENT INCOME	Page Number 2
Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.			
Individuals not covered by the Honoraria Ban: For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.			
Name of Income Source	Address (City, State)	Type of Income	Amount
Example: JP Computers	Wash., DC	Salary	\$15,000
MCI (Spouse)	Arlington, VA	Salary	Over \$1,000
1 MORGAN, LEWIS & BOCKIUS LLP	HOUSTON, TX	SALARY plus BONUS	\$1,573,543
2 GOLDMAN SACHS & CO. (spouse)	HOUSTON, TX	SALARY	Over \$1000
3 FEDERALIST SOCIETY	WASHINGTON, DC	HONORARIUM	\$1000
4 FAITH WEST ACADEMY	KATY, TX	HONORARIUM	\$500
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Reporting Individual's Name TED CRUZ	<input type="checkbox"/> Amendment	PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES															Page Number 3																	
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																						
												Type of Income					Amount of Income																	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock) Example: DC, or J (S) Keystone Fund					X								X							Example	X											Example		
1	BFK				X								X												X									
2	GSMTX				X								X												X									
3	GHYIX			X									X												X									
4	GIMDX		X										X												X									
5	GSPIX			X									X												X									
6	XOM				X								X												X									
7	OKF				X								X												X									
8	EPD				X								X												X									
9	GS		X										X												X									
10	DD		X										X												X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources	BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																					
	At the close of reporting period. If None, or less than \$1,001, Check the first column.										Type of Income					Amount of Income																
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J IBM Corp. (stock)													X								Example	X									Example	
(S) Keystone Fund				X												X					Example	X									Example	
1 CVX			X									X														X						
2 T		X										X													X							
3 GSTIX		X										X											X									
4 GSLIX		X										X											X									
5 MCD		X										X												X								
6 GE		X										X												X								
7 KO		X										X											X									
8 PFE	X											X											X									
9 MSFT	X											X											X									
10 VZ	X											X											X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

TED CRUZ

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.								BLOCK C Type and Amount of Income											
										Type of Income						Amount of Income					Actual Amount Required if "Other" Specified
										None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	
S, Example: DC, or J	JP Computer, Software Design, Wash DC								X	Example		X									Example
	Undeveloped land, Dubuque, Iowa				X					X		X									Example
1	Rental cards, Houston, TX	X																			
2	Rental house, Austin, TX	X																			
3	MORGAN LEWIS - CASH BALANCE RETIREMENT PLAN				X											X					
4	MORGAN LEWIS - FIRM CAPITAL ACCOUNT				X										X						
5	TEXAS GAS RETIREMENT ACCOUNT		X									X									
6	LOAN TO TED CRUZ FOR SENATE					X				X		X									
7																					
8																					
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 ** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name TED CRUZ			PART VII. LIABILITIES			Page Number 9																
Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.						Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)													
									\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Name of Creditor		Address		Type of Liability																		
Example: S, DC, or J First District Bank (J) John Jones		Wash., DC Wash., DC		Mortgage on undeveloped land Promissory Note		1992	13%	25yrs			X		E	X	A	M	P	L	E			
1	<input type="checkbox"/> WELLS FARGO MORTGAGE	DALLAS, TX	MORTGAGE ON RENTAL PROPERTY	2003	5.125%	15 yrs					X											
2	<input type="checkbox"/> US SENATE FCU	WASHINGTON, DC	MORTGAGE ON RENTAL PROPERTY	2008	6.42%	15 yrs					X											
3	<input type="checkbox"/> BANK OF AMERICA	SAN VALLEY, CA	MORTGAGE ON RENTAL PROPERTY	2006	6%	30 yrs					X											
4	<input type="checkbox"/> CITIBANK	NEW YORK, NY	LAW FIRM CAPITAL ACCOUNT LOAN	2008	1.25%	10 yrs						X										
5	<input type="checkbox"/> CITIBANK	NEW YORK, NY	LINE OF CREDIT	2012	Prime-Floating	-						X										
6	<input type="checkbox"/> GOLDMAN SACHS MARGIN LOAN	NEW YORK, NY	MARGIN LOAN	2012	3% Floating	-					X											
7	<input type="checkbox"/>																					
8	<input type="checkbox"/>																					
9	<input type="checkbox"/>																					
10	<input type="checkbox"/>																					
11	<input type="checkbox"/>																					
12	<input type="checkbox"/>																					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name TED CRUZ	<input type="checkbox"/> Amendment	PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT	Page Number 10
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Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY, NY EXAMPLE	Non-profit education	President	6 / 91	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 96	11 / 1X
1	Morgan, Lewis & Bockius LLP	HOUSTON, TX	LAW FIRM	PARTNER	5 / 08	PRESENT
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Compensation in excess of \$200 from any position must be reported in Part II.

Reporting Individual's Name TED CRUZ	<input type="checkbox"/> Amendment	PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE	Page Number 11
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FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

	Name of Source	Address of Source	Brief Description of Duties
Example:	Jones & Smith Metro University (client of Jones & Smith)	Hometown, TX Moneytown, USA	Legal Services Legal Services in connection with university construction
EXAMPLE			EXAMPLE
1	ACE CASH EXPRESS	IRUING, TX	LEGAL SERVICES
2	ASTRA ZENECA	WILMINGTON, DE	LEGAL SERVICES
3	B. BRAUN MEDICAL INC.	BETHLEHEM, PA	LEGAL SERVICES
4	BCSW LLC d/b/a JUST BRAVES	DALLAS, TX	LEGAL SERVICES
5	CEVA LOGISTICS	HOUSTON, TX	LEGAL SERVICES
6	DELL INC.	ROUND ROCK, TX	LEGAL SERVICES
7	DENTSPLY INTERNATIONAL	YORK, PA	LEGAL SERVICES
8	DRISCOLL CHILDRENS HOSPITAL	CORPUS CHRISTI, TX	LEGAL SERVICES
9	EAST WILDLIFE FOUNDATION	CORPUS CHRISTI, TX	LEGAL SERVICES
10	EMD SERONO, INC.	ROCKLAND, MA	LEGAL SERVICES
11	FEDERATION OF STATE MEDICAL BOARDS	EULESS, TX	LEGAL SERVICES
12	FEDEx GROUND PACKAGE SYSTEM INC.	MOON TOWNSHIP, PA	LEGAL SERVICES
13	ELFC HOLDINGS INC.	CHADDS FORD, PA	LEGAL SERVICES
14	GOOGLE INC.	MOUNTAIN VIEW, CA	LEGAL SERVICES
15	GROUPE SEB	BOULLY CEDEX, FRANCE	LEGAL SERVICES

TED CRUZ

PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

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FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services EXAMPLE
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction EXAMPLE
1	HCA	NASHVILLE, TN	LEGAL SERVICES
2	ALBERT HILL, JR.	DALLAS, TX	LEGAL SERVICES
3	INTERNATIONAL PAPER CO	MEMPHIS, TN	LEGAL SERVICES
4	IUY MINERALS	BEAUFORT, SC	LEGAL SERVICES
5	JP MORGAN CHASE	CHICAGO, IL	LEGAL SERVICES
6	LORE KETH	ALBUQUERQUE, NM	LEGAL SERVICES
7	KRAFT FOODS INC	NORTHFIELD, IL	LEGAL SERVICES
8	ROBERT MERUCLE	WILKES-BARRE, PA	LEGAL SERVICES
9	NATIONAL CHAMBER ^{LITIGATION} _{CENTER}	WASHINGTON, DC	LEGAL SERVICES
10	PFIZER INC	ITASCA, IL	LEGAL SERVICES
11	RELIANCE STANDARD ^{ASSOCIATION}	PHILADELPHIA, PA	LEGAL SERVICES
12	RGA-REPUBLICAN GOVERNORS	WASHINGTON, DC	LEGAL SERVICES
13	SCHLUMBERGER TECHNOLOGY	SUGAR LAND, TX	LEGAL SERVICES
14	SENECA FREE TRADE ASS'N	BUFFALO, NY	LEGAL SERVICES
15	SHANDONG LINGONG RUBBER CO.	ZHAOYUAN CITY, CHINA	LEGAL SERVICES

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PART X. COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

13

FIRST TIME FILERS ONLY: (Except Candidate Reports - All Candidate Reports Must Include Part X If Applicable)

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services EXAMPLE
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction EXAMPLE
1	TOYOTA MOTOR SALES	TORRANCE, CA	LEGAL SERVICES
2	WAL-MART STORES	BENTONVILLE, AR	LEGAL SERVICES
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U.S. SENATE

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ORIGIN ID: 65EA (512) 637-9777
SHIP DATE: 07JUL15
BILL NUMBER: 704A1762C-66E...
BILL SENDER
HART SENATE BLDG
ROOM 220
WASHINGTON DC 20510
0000 000-0000
REF: 0021



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TUE - 10 JUL A2
STANDARD OVERNIGHT

XC YKNA 20510
DC-US DCA

U.S. SENATE
TRACKING NUMBER
09-029251



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