



The Senate of The State of Texas

Patsy Spaw
Secretary of the Senate

June 12, 2013

Mr. Jeff Sandefer
816 Congress Avenue, Suite 1240
Austin, Texas 78701

Dear Mr. Sandefer:

Your request under the Texas Public Information Act (Chapter 552, Government Code), was received in my office on June 6, 2013. In your request you asked for:

"1. Any and all source Documents related in any way to the sections of the Personal Financial Statement/Form PFS, Account Number 20971, filed May 3, 2013 with the Texas Ethics Commission ("PFS") and/or Officeholder filings made to the Texas Ethics Commission and signed under penalty of perjury by Senator J. Zaffirini set out below." [Attached is the request which contains the specific list of documents.]

Senator Judith Zaffirini received an identical request the same day and has forwarded the request to me for response as the custodian of Senate documents.

The Senate does not maintain or possess the documents in question.

If I may be of further assistance, please do not hesitate to call me.

Sincerely,

A handwritten signature in cursive script that reads "Patsy Spaw".

Patsy Spaw
Secretary of the Senate

Jeff Sandefer
816 Congress Ave., Suite 1240
Austin, Texas 78701

June 6, 2013

Patsy Spaw, Secretary of the Senate
The State of Texas
1200 Congress Ave., Rm. 2E.22
Texas State Capitol
Austin, Texas 78711-2068

Pursuant to the Texas Open Records Act, I hereby request a printout/listing of:

1. Any and all source Documents related in any way to the sections of the Personal Financial Statement/Form PFS, Account Number 20971, filed May 3, 2013 with the Texas Ethics Commission ("PFS") and/or Officeholder filings made to the Texas Ethics Commission and signed under penalty of perjury by Senator J. Zaffirini set out below. The following documents are requested as follows:
 - a. All invoices, payment information evidencing income of Zaffirini Communications for the previous 5 years from any of the following sources:
 - i. Any governmental agency (including, but not limited to: state, federal, municipal, county, special purpose governmental unit, quasi-governmental unit (e.g. Texas Mutual Insurance Company).
 - ii. Any member or former member of the Texas Legislature;
 - iii. Any company, limited partnership, limited liability corporation, sole proprietorship in which any member or former member of the Texas Legislature was affiliated (owner/officer/employee);
 - iv. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former State of Texas employee was affiliated (owner/officer/employee);
 - v. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former Texas County official was affiliated (elected official or employee);
 - vi. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former Texas Municipal official was affiliated (elected official or employee);
 - vii. Any company, limited partnership, limited liability corporation, sole proprietorship which is or was the client of a Texas lobbyist registered with the Texas Ethics Commission;
 - viii. Any company, limited partnership, limited liability corporation, sole proprietorship (including any employee/owner/operator of



said business) which contributed to Senator J. Zaffirini's campaign in the past 10 years;

- ix. All Communications with any of the entities or individuals listed in i. through viii above for the previous 2 years.
- x. Any governmental agency (including, but not limited to: state, federal, municipal, county, special purpose governmental unit, quasi-governmental unit (e.g. Texas Mutual Insurance Company).
- xi. Any member or former member of the Texas Legislature;
- xii. Any company, limited partnership, limited liability corporation, sole proprietorship in which any member or former member of the Texas Legislature was affiliated (owner/officer/employee);
- xiii. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former State of Texas employee was affiliated (owner/officer/employee);
- xiv. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former Texas County official was affiliated (elected official or employee);
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- xvi. Any company, limited partnership, limited liability corporation, sole proprietorship which is or was the client of a Texas lobbyist registered with the Texas Ethics Commission;
- xvii. Any company, limited partnership, limited liability corporation, sole proprietorship (including any employee/owner/operator of said business) which contributed to Senator J. Zaffirini's campaign in the past 10 years;
- xviii. All communications with any of the entities or individuals listed in i. through viii above for the previous 2 years.
- xix. Any 'supporting organization' (foundation, e.g. Texas Law School Foundation) and/or affiliated foundations.

- b. All invoices, payment information evidencing income of Zaftex Corporation for the previous 5 years from any of the following sources:
 - i. Any governmental agency (including, but not limited to: state, federal, municipal, county, special purpose governmental unit, quasi-governmental unit (e.g. Texas Mutual Insurance Company).
 - ii. Any member or former member of the Texas Legislature;
 - iii. Any company, limited partnership, limited liability corporation, sole proprietorship in which any member or former member of the Texas Legislature was affiliated (owner/officer/employee);
 - iv. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former State of Texas employee was affiliated (owner/officer/employee);

- v. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former Texas County official was affiliated (elected official or employee);
 - vi. Any company, limited partnership, limited liability corporation, sole proprietorship in which any current or former Texas Municipal official was affiliated (elected official or employee);
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 - x. Any 'supporting organization' (foundation, e.g. Texas Law School Foundation) and/or affiliated foundations.
- c. All invoices, payment information evidencing income of Zaffirini & Castillo, Attorneys at Law for the previous 5 years from any of the following sources:
- i. Any governmental agency (including, but not limited to: state, federal, municipal, county, special purpose governmental unit, quasi-governmental unit (e.g. Texas Mutual Insurance Company).
 - ii. Any member or former member of the Texas Legislature;
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 - vii. Any company, limited partnership, limited liability corporation, sole proprietorship which is or was the client of a Texas lobbyist registered with the Texas Ethics Commission;
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 - x. Any 'supporting organization' (foundation, e.g. Texas Law School Foundation) and/or affiliated foundations.
- d. All invoices, payment information evidencing income of Zaffirini Communications, Zaftex Corporation or Judith Zaffirini, individually and/or under any d/b/a for the previous 5 years from:
- i. Adelanto HealthCare Ventures, L.L.C.;
 - ii. Carlos Zaffirini, Jr.
 - iii. Border Health Care Venture
 - iv. Judith Zaffirini and Associates
 - v. Zaffirini and Castillo, Attorneys
 - vi. Any entity in which Carlos Zaffirini, Jr. is an owner, shareholder, director or employee.
 - vii. Zaffirini & Castillo, Attorneys
 - viii. Carlos Zaffirini, Sr.
- e. All Communications between Senator Judith Zaffirini and Carlos Zaffirini, Jr. regarding any activity, customer, client, project, business relationship involving any State of Texas or other governmental projects being handled by Adelanto HealthCare Ventures, LLC or Carlos Zaffirini, Jr., acting individually as a 'consultant'.
- f. All Communications between Senator Judith Zaffirini and Carlos Zaffirini, Sr., regarding any activity, customer, client, project, business relationship involving any State of Texas or other governmental projects being handled by Zaffirini & Castillo, Attorneys.
- g. All Documents related in any way to work performed for or on behalf of Associations, Inc. as listed on Part 15 of the PFS and any other income, loans or financial transactions by and between Senator John Carona, Associations, Inc. or any entity owned, controlled or affiliated with John Carona for the previous 5 year period.
- i. Any and all communications with Senator Carona, his staff, employees or agents or any public official or employee in the State of Texas regarding the pitching/publication/printing of *The Best of Association Times: A Collection of Notable Articles From Association Times, 2002-2005* (or any other book, manuscript or other publication) to any Texas governmental press, including, but not limited to Texas A&M Press.
- h. All Documents related in any way to work performed for or on behalf of Associations, Inc. as listed on Part 15 of the PFS and any other income, loans or financial transactions by and between Compass Bank, or any entity owned, controlled or affiliated with Compass Bank for the previous 5 year period.

- i. All Documents evidencing any agreements, understandings or payments of commissions from Adelanto HeathCare Ventures, LLC and Senator Judith Zaffirini, Zaffirini Communications, L.C., Zaftex Corporation for business generated by any of these entities with any governmental agency, entity or governmental employee.

Please let me know when the records will be provided.

Yours very truly,

Jeff Sandefer

Jeff Sandefer
816 Congress Ave., Suite 1240
Austin, Texas 78701

June 6, 2013
RECEIVED
JUN 06 2013

The Honorable Judith Zaffirini
Capitol Station
1400 Congress Ave., Rm. 1E.14
Austin, Texas 78701

Senator Zaffirini

TF*** _____
TP _____
PDL _____
TYL _____
PR/SL _____
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Route to _____

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Yours very truly,

Jeff Sandefer