

Annual Report for Calendar 2013

The Honorable John Cornyn (Cornyn, John)

Filed 05/15/2014 @ 11:36 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset</u> <u>Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income</u> <u>Type</u>	<u>Income</u>
1	Bank of America checking account (Austin, Texas) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
2	Bank of America savings account (Austin, Texas) Type: Savings,	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3	UBS Bank USA Deposit account (San Antonio, Texas) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than

						\$201)
4	American Funds New Economy Fund	Mutual Funds Mutual Fund	Self	\$15,001 - -\$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
5	UBS Bank USA Deposit account (San Antonio, Texas) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
6	American Funds SMALL CAP World Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
7	American Funds Growth Fund of America	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
8	American Funds New Perspective Fund	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
9	UBS Bank USA Deposit account (Inherited IRA) (San Antonio, Texas) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Self	None (or less than \$1,001)	Interest,	None (or less than \$201)
10	American Funds SMALLCAP World Fund (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$50,001 - -\$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
11	American Funds Growth Fund of America (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$50,001 - -\$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
12	American Funds New Economy Fund (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$15,001 - -\$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
13	American Funds New Perspective Fund	Mutual	Self	\$15,001	Excepted	\$2,501 -

	(Inherited IRA)	Funds Mutual Fund		- \$50,000	Investment Fund,	\$5,000
14	UBS (Inherited IRA)	Retirement Plans IRA	Self	\$100,001 - \$250,000	Other, (IRA Distribution)	Other \$6,130.08
15	Employees Retirement System of Texas	Retirement Plans IRA	Self	\$50,001 - \$100,000	Other, (Retirement Distribution)	Other \$10,536.88
16	TX County and District Retirement System	Retirement Plans IRA	Self	\$15,001 - \$50,000	Other, (Retirement Distribution)	Other \$8,592.60
17	Employees Retirement System of Texas	Retirement Plans IRA	Spouse	\$15,001 - \$50,000	Other, (Retirement Distribution)	Other \$8,327.64
18	Judicial Retirement System of Texas	Retirement Plans IRA	Self	\$50,001 - \$100,000	Other, (Retirement Distribution)	Other \$55,723.20
19	Hartford Life Insurance Co (Inherited Annuity) <i>Provider: Hartford Life Insurance Co</i>	Annuity Fixed	Self	\$1,001 - \$15,000	Other, (Annuity Distribution)	Other \$2,308.92
20	Hartford Life Insurance Co (Inherited Annuity) <i>Provider: Hartford Life Insurance Co</i>	Annuity Fixed	Self	\$1,001 - \$15,000	Other, (Annuity Distribution)	Other \$1,356.96

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
1	Self	--	American Funds New Economy Fund (non-IRA account)	Purchase	09/03/2013	\$1,001 - \$15,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2010	Joint	Mortgage	0	4.375% (30)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-
2	2012	Joint	Mortgage	0	3% (15)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-
3	2013	Self	Revolving Charge	-	16.99% (On Demand)	\$15,001 - \$50,000	Citibank Sioux Falls, SC	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Organization	Organization Type	Comments
1	Apr 2009 to present	Trustee	MC Testamentary Trust San Antonio, TX	Other (Trust)	As trustee I hold no beneficial interest in the trust.
2	Jan 2013 to present	Other (Board Member)	Clements Center Statecraft Board	Educational Organization	

present

member)

Statecraft Board

Organization

Austin, Texas

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.