FORM PFS PERSONAL FINANCIAL STATEMENT **COVER SHEET** PAGE 1 PAGE# Filed in accordance with chapter 572 of the Government Code. 121 For filings required in 2016, covering calendar year ending December 31, 2015. ACCOUNT # Use FORM PFS--INSTRUCTION GUIDE when completing this form. 00030098 1 NAME TITLE; FIRST; MI **OFFICE USE ONLY** The Honorable Christi L. **ELECTRONICALLY FILED** NICKNAME; LAST; SUFFIX 05/02/2016 Craddick 2 ADDRESS ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP Receipt # 1500 Dillman Street HD / PM Amount Austin, TX 78703 Date Processed X (CHECK IF FILER'S HOME ADDRESS) **TELEPHONE** AREA CODE PHONE NUMBER; EXTENSION Date Imaged NUMBER (512) 477-3235 REASON FOR FILIING **STATEMENT** CANDIDATE _____ (INDICATE OFFICE) ELECTED OFFICER RAILROAD COMMISSIONER (INDICATE OFFICE) APPOINTED OFFICER ______ (INDICATE AGENCY) EXECUTIVE HEAD _____ (INDICATE AGENCY) FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT STATE PARTY CHAIR ______ (INDICATE PARTY) OTHER _____ (INDICATE POSITION) **5** Family members whose financial activity you are reporting (see instructions). SPOUSE _____ DEPENDENT CHILD 1. CATHERINE LEIGH CRADDICK In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. 1 INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD ___ 2 EMPLOYMENT NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER X (Check if Filer's Home Address) **EMPLOYER** DIRECT CONTACTS, INC. ADDRESS / PO BOX; APT / SUITE #; CITY: STATE: ZIP CODE 1500 Dillman St. Austin, TX 78703 **POSITION HELD** (WHOLLY-OWNED BY FILER) NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD ___ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** RAILROAD COMMISSION OF TEXAS ADDRESS / PO BOX; APT / SUITE #; ZIP CODE CITY; STATE: 1701 N. CONGRESS AUSTIN, TX 78703 POSITION HELD RAILROAD COMMISSIONER NATURE OF OCCUPATION SELF-EMPLOYED

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1 BUSINESS ENTITY	EXXONMOBIL		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	THE PROCTOR & GA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	ACCENTURE PLC IRI		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ACCENTURE PLC IRI		NAME DEPENDENT CHILD)
STOCK HELD OR		ELAND		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	ELAND SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	ELAND SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	ELAND SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 AMERISOURCEBERG	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 AMERISOURCEBERG X FILER	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 GEN CORP SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 AMERISOURCEBERG X FILER X LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 GEN CORP SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY		APPLE INC	ı	NAME	
2 STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHAR	ES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		AT&T	ı	NAME	
STOCK HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHAR	ES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	i				
BUSINESS ENTITY		BRINKER INTL INC		NAME	
STOCK HELD OR ACQUIRED BY		BRINKER INTL INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR	ES				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	ES	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD	ES NET GAIN NET LOSS	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD	NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD	NET GAIN	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD BUSINESS ENTITY STOCK HELD OR	NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CAPITAL ONE FINANCE X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CIAL CORP SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CAPITAL ONE FINANCE X FILER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CIAL CORP SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHAR IF SOLD BUSINESS ENTITY STOCK HELD OR ACQUIRED BY NUMBER OF SHAR	NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CAPITAL ONE FINANCE X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CIAL CORP SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	CARDTRONICS, INC.		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CHEVRON CORPORA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
DI ISINESS ENITITY			NAME	
BUSINESS ENTITY	CHURCH & DWIGHT		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	CHURCH & DWIGHT		NAME DEPENDENT CHILD)
STOCK HELD OR		INC		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	INC SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	INC ☐ SPOUSE X 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	INC ☐ SPOUSE X 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CISCO SYSTEMS INC	INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CISCO SYSTEMS INC X FILER	INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 CISCO SYSTEMS INC X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE X 100 TO 499 100 TO 490 100 TO 490	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	CITIGROUP INC NEW		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	COSTCO WHSL COR		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	CULLEN FROST BAN	KERS INC		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DELPHI AUTOMOTIV		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
,				
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
			500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	DICKS SPORTING GO		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EASTMAN CHEMICA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	GENERAL ELECTRIC		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	GENERAL ELECTRIC		NAME DEPENDENT CHILD)
STOCK HELD OR		COMPANY		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	 COMPANY □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999 	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	 COMPANY □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999 	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GILEAD SCIENCES IN	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GILEAD SCIENCES IN	SPOUSE SPOUSE SPOUSE SPOUSE Spouse Spou	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 GILEAD SCIENCES IN X FILER X LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 NC SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
1 BUSINESS ENTITY	GRACO INCORPORA		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	HOME DEPOT INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	LITIBLE I INC			
STOCK HELD OR	HUBBELL INC			
ACQUIRED BY	HUBBELL INC X FILER	SPOUSE	DEPENDENT CHILD)
		SPOUSE 100 TO 499	DEPENDENT CHILD	
ACQUIRED BY	X FILER			_
ACQUIRED BY	X FILER X LESS THAN 100	100 TO 499		_
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 INTERNATIONAL PAR	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 INTERNATIONAL PAR	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PER CO SPOUSE	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 INTERNATIONAL PAR X FILER LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PER CO SPOUSE X 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	JP MORGAN CHASE		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	METLIFE INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MICROSOFT CORP		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
<u> </u>	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
NET LOSS		\$5,000 - \$9,999		
BUSINESS ENTITY STOCK HELD OR	OMNICOM GROUP	\$5,000 - \$9,999	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	OMNICOM GROUP X FILER	\$5,000 - \$9,999	NAME DEPENDENT CHILD)
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	OMNICOM GROUP X FILER X LESS THAN 100	\$5,000 - \$9,999 SPOUSE 100 TO 499	NAME DEPENDENT CHILD)

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4 DUCINECO ENTITY				
1 BUSINESS ENTITY	PFIZER INCORPORA		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PHILLIPS 66	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	QUALCOMM INC			
STOCK HELD OR ACQUIRED BY	QUALCOMM INC X FILER	SPOUSE	DEPENDENT CHILD)
	<u>_</u>	SPOUSE 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY	X FILER			_
ACQUIRED BY	X FILER X LESS THAN 100	100 TO 499		_
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 STRYKER CORP	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 STRYKER CORP X FILER	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 STRYKER CORP X FILER X LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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lι					
Ī	BUSINESS ENTITY	ROBERT HALF INTL I		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
E					
	BUSINESS ENTITY	UNION PACIFIC COR		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DI ICINECC ENTITY			NA NA E	
	BUSINESS ENTITY			NAME	
		UNITED TECHNOLOG	GIES CORP		
	STOCK HELD OR ACQUIRED BY	UNITED TECHNOLOG	SIES CORP	DEPENDENT CHILD)
		X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
	ACQUIRED BY	X FILER	SPOUSE		_
	ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499		_
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 UNITED HEALTH GRO	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 OUP, INC. SPOUSE 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 UNITED HEALTH GRO X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 OUP, INC.	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 UNITED HEALTH GRO X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 OUP, INC. SPOUSE 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	VERIZON COMMUNIO	CATIONS	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	BUSINESS ENTITY			NAME	
		WHITING PETROLEU	M CORP		
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE	_	
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
Г	BUSINESS ENTITY			NAME	
	BUSINESS ENTITY	зм со		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	3M CO X FILER	SPOUSE	NAME DEPENDENT CHILD)
	STOCK HELD OR		SPOUSE 100 TO 499		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER		DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	100 TO 499	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD	X FILER X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AT&T INC	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AT&T INC X FILER	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AT&T INC X FILER LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1					
	BUSINESS ENTITY	GILEAD SCIENCES IN		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	KINDER MORGAN IN		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	PFIZER, INC	٨	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	<u> </u>	LESS THAN \$5,000		\$10,000 - \$24,999	\$25,000OR MORE
=	NET LOSS				
	BUSINESS ENTITY STOCK HELD OR	PHILIP MORRIS INTL	INC	NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	PHILIP MORRIS INTL X FILER LESS THAN 100	INC SPOUSE X 100 TO 499	NAME DEPENDENT CHILD)

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1	BUSINESS EN	TITY	PHILLIPS 66		NAME	
2	STOCK HELD (ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF S	SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
			LESS THAN 10K	10,000 OR MORE		
4	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS EN	TITY	QUALCOMM INC		NAME	
	STOCK HELD (ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF S	SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
			LESS THAN 10K	10,000 OR MORE		
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_						
	BUSINESS EN	TITY		İ	NAME	
	BUSINESS EN	TITY	DCP MIDSTREAM PA		NAME	
	STOCK HELD O ACQUIRED BY	OR	DCP MIDSTREAM PA		NAME DEPENDENT CHILD)
	STOCK HELD (OR ′		RTNERS LP		1,000 TO 4,999
	STOCK HELD (ACQUIRED BY	OR ′	X FILER	RTNERS LP	DEPENDENT CHILD	_
	STOCK HELD (ACQUIRED BY	OR ′	X FILER LESS THAN 100	RTNERS LP SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD (ACQUIRED BY	OR SHARES NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	RTNERS LP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	1,000 TO 4,999
	STOCK HELD (ACQUIRED BY NUMBER OF S	OR SHARES NET GAIN NET LOSS TITY OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	RTNERS LP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 S10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD (ACQUIRED BY NUMBER OF S IF SOLD BUSINESS ENT	OR SHARES NET GAIN NET LOSS TITY OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER	RTNERS LP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PARTNERS LP	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD (ACQUIRED BY NUMBER OF S IF SOLD BUSINESS ENT STOCK HELD (ACQUIRED BY	OR SHARES NET GAIN NET LOSS TITY OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER X FILER	RTNERS LP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PARTNERS LP SPOUSE	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD (ACQUIRED BY NUMBER OF S IF SOLD BUSINESS ENT STOCK HELD (ACQUIRED BY	OR SHARES NET GAIN NET LOSS TITY OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER X FILER LESS THAN 100	RTNERS LP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PARTNERS LP SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	ACCLENTURE PLC IF		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CHEVRON CORPORA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY				
BUSINESS ENTITY	OLOGO CYCTEMS		NAME	
	CISCO SYSTEMS		NAME	
STOCK HELD OR ACQUIRED BY	CISCO SYSTEMS X FILER	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	☐ SPOUSE☐ X 100 TO 499☐ 10,000 OR MORE☐ \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	☐ SPOUSE☐ X 100 TO 499☐ 10,000 OR MORE☐ \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COLGATE-PALMOLIV	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COLGATE-PALMOLIV	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COLGATE-PALMOLIV X FILER X LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 E CO SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	MICROSOFT CORP	1	NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CISCO SYSTEMS INC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BOOMESS ENTITY	COSTCO WHSL COR		TO WIL	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	
				1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD X NET GAIN □ NET LOSS	LESS THAN 10K X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
		\$5,000 - \$9,999		
NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<u>\$10,000 - \$24,999</u>	\$25,000OR MORE
BUSINESS ENTITY STOCK HELD OR	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 NAME	\$25,000OR MORE
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ENSCO PLC SPONSO	\$5,000 - \$9,999 ORED ADR	S10,000 - \$24,999 NAME DEPENDENT CHILD	\$25,000OR MORE

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GILEAD SCIENCES IN		NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
HOME DEPOT INC	1	NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		NAME	
JP MORGAN CHASE		VAIVIE	
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	1	VAME	
METLIFE INC		VAIVIE	
METLIFE INC X FILER	SPOUSE	DEPENDENT CHILD)
			1,000 TO 4,999
X FILER	SPOUSE	DEPENDENT CHILD	
	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 HOME DEPOT INC X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN 10K X LESS THAN \$5,000 JP MORGAN CHASE X FILER X LESS THAN 100 LESS THAN 100 LESS THAN 10K	SPOUSE SPOUSE	X FILER SPOUSE DEPENDENT CHILD X LESS THAN 100 100 TO 499 500 TO 999 □ LESS THAN 10K 10,000 OR MORE \$10,000 - \$24,999 X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 NAME HOME DEPOT INC SPOUSE DEPENDENT CHILD X LESS THAN 100 100 TO 499 500 TO 999 □ LESS THAN 10K 10,000 OR MORE X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 NAME JP MORGAN CHASE & CO NAME X LESS THAN 100 100 TO 499 500 TO 999 □ LESS THAN 10K 10,000 OR MORE

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1 BUSINESS ENTITY	ORACLE CORPORAT		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	QUALCOMM INC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	ABBVIE INC	l	NAME	
STOCK HELD OR ACQUIRED BY	ABBVIE INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AETNA INC (NEW)	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AETNA INC (NEW) X FILER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 AETNA INC (NEW) X FILER X LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	COGNIZANT TECHNO	1 D SNOITUJOS YDOJO	NAME ORP CL A	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FACEBOOK INC	١	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TR GERMANY	1 DNU7 X3DAH9LA T3	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TR SWITZERL	1 AND ET ALPHADEX FI	NAME UND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	FIRST TR UNITED ET	r KINGDOM ALPHADE	NAME X FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRST HLTH CA	1 AREETF ALPHADEX F	NAME UND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIDOT TOUGT ET FIN		NAME	
	FIRST TRUST ET FIN	1 ANCIAL ALPHADEX FI		
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FIRST TRUST ET FIN)
STOCK HELD OR		ANCIAL ALPHADEX F	UND	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	ANCIAL ALPHADEX FI	UND DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	ANCIAL ALPHADEX FI	UND DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	ANCIAL ALPHADEX FI SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	ANCIAL ALPHADEX FI SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST ET NYS	ANCIAL ALPHADEX FI SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND ☐ DEPENDENT CHILD ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST ET NYS X FILER	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST ET NYS X FILER LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SE ARCA BIOTEC FBT SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	FIRST TRUST CONSU	1 JMER ET STAPLES AL	NAME LPHADEX FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST DOW J	1 ONES INTERNET IND	NAME EX FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	FIRST TRUST ISE CH		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FIRST TRUST ISE CH		NAME DEPENDENT CHILE)
STOCK HELD OR		IINDIA INDEX FUND		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST NASDA	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DLOGY SECTOR INDEX	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST NASDA X FILER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DLOGY SECTOR INDEX	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FIRST TRUST NASDA X FILER LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE S5,000 - \$9,999 NQ ET 100 EX-TECHNO SPOUSE X 100 TO 499 NO 4	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DLOGY SECTOR INDEX	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	FIRST TRUST TECHN	1 NLGY ET ALPHADEX F	NAME :UND	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	F5 NETWORKS INC	1	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ISHARES MSCI ET H		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	PAYPAL HOLDINGS I		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
1					

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1 BUSINESS ENTITY	SPDR S&P REGNL BI		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SPDR S&P 500 TRUS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	AGRIUM INC		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	AGRIUM INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ALTRIA GROUP INC	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ALTRIA GROUP INC X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ALTRIA GROUP INC X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
1 BUSINESS ENTITY	AMERICAN EXPRESS		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BIG LOTS INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CBS CORP B	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
		SPOUSE 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY	X FILER			_
ACQUIRED BY	X FILER X LESS THAN 100	100 TO 499		_
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 COCA-COLA ENTER	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 COCA-COLA ENTERF	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 COCA-COLA ENTERF X FILER LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 PRISES SPOUSE X 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	DELTA AIR LINES INC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	GAMESTOP CORP C		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	HARLEY DAVIDSON I	NC		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100			
	A LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS			500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	LESS THAN 10K	10,000 OR MORE \$5,000 - \$9,999		
NET LOSS	LESS THAN 10K	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY STOCK HELD OR	LESS THAN 10K LESS THAN \$5,000 HEWLETT PACKARD	10,000 OR MORE \$5,000 - \$9,999 ENTERPRISE CO	\$10,000 - \$24,999 NAME	\$25,000OR MORE
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LESS THAN 10K LESS THAN \$5,000 HEWLETT PACKARD X FILER	10,000 OR MORE \$5,000 - \$9,999 ENTERPRISE CO SPOUSE	\$10,000 - \$24,999 NAME DEPENDENT CHILD	\$25,000OR MORE
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LESS THAN 10K LESS THAN \$5,000 HEWLETT PACKARD X FILER LESS THAN 100	10,000 OR MORE \$5,000 - \$9,999 ENTERPRISE CO SPOUSE X 100 TO 499	\$10,000 - \$24,999 NAME DEPENDENT CHILD	\$25,000OR MORE

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1 BUSINESS ENTITY	HP INC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	INTEL CORP		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES ET INTERM	IEDIATE CREDIT BON	NAME D	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES CORE ET A	GGRESSIVE ALLOCA	NAME TION	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	PENTAIR PLC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PITNEY BOWES INC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	D
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	POWERSHARES HIG		NAME TE BOND PORTFOLIO	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	QUEST DIAGNOSTIC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1					
L	BUSINESS ENTITY	ST JUDE MEDICAL IN		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	TESSERA TECHNOL		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	□ 500 ТО 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	DUCINECO ENTITY			NAME	
	BUSINESS ENTITY	TYSON FOODS INC		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	NUMBER OF SHARES IF SOLD NET GAIN NET LOSS			500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	IF SOLD NET GAIN	LESS THAN 10K	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	
	IF SOLD NET GAIN NET LOSS	LESS THAN 10K	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
=	IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	LESS THAN 10K LESS THAN \$5,000 UNITED STATES ET	10,000 OR MORE \$5,000 - \$9,999 COMMODITY INDEX F	\$10,000 - \$24,999 NAME -UND	\$25,000OR MORE
	IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LESS THAN 10K LESS THAN \$5,000 UNITED STATES ET X FILER X LESS THAN 100	10,000 OR MORE \$5,000 - \$9,999 COMMODITY INDEX F SPOUSE 100 TO 499	S10,000 - \$24,999 NAME FUND DEPENDENT CHILD	\$25,000OR MORE

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1	BUSINESS ENTITY	WHIRLPOOL CORP	ı	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ABBVIE INC		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DUCINECC ENTITY				
	BUSINESS FINITY 1			NAME	
	BUSINESS ENTITY	AETNA INC (NEW)		NAME	
	STOCK HELD OR ACQUIRED BY	AETNA INC (NEW) X FILER	SPOUSE	NAME DEPENDENT CHILD	·
	STOCK HELD OR				
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	COGNIZANT TECHNO		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FACEBOOK INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TR GERMANY	ET ALPHADEX FUND	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TR SWITZERL	I AND ET ALPHADEX F	NAME UND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY				
	FIRST TR UNITED ET	t KINGDOM ALPHADE	NAME X FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST ISE CH		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EIDST TRUST TECHN	ILGY ET ALPHADEX F	NAME	
	TIKST IKOST TECH			
STOCK HELD OR	[v] =u =n			_
ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY				
ACQUIRED BY	X LESS THAN 100	100 TO 499		
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 F5 NETWORKS INC	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 F5 NETWORKS INC X FILER	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 F5 NETWORKS INC X FILER X LESS THAN 100	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	ISHARES MSCI ET HO		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	PAYPAL HOLDINGS I		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SPDR S&P REGNL BI		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	SPDR S&P REGNL BI		NAME DEPENDENT CHILD)
	STOCK HELD OR	X FILER X LESS THAN 100	SPOUSE 100 TO 499		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S&P 500 TRUS X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T ET SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S&P 500 TRUS X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T ET SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 SPDR S&P 500 TRUS X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T ET SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	AFFILIATED MANAGI		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ALLISON TRANSMIS		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	DI ICINECC ENTITY			NAME	
	BUSINESS ENTITY	AMGEN INC		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	AMGEN INC X FILER	SPOUSE	NAME DEPENDENT CHILD)
	STOCK HELD OR	_			1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 APPLE INC X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	BOSTON PROPERTIE		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	BRISTOL MYERS SQ		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DUCINECO ENTITY			NAME	
	BUSINESS ENTITY	CATAMARAN CORP		NAME	
	STOCK HELD OR ACQUIRED BY	CATAMARAN CORP X FILER	SPOUSE	NAME DEPENDENT CHILD)
	STOCK HELD OR				1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COGNIZANT TECHNO	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COGNIZANT TECHNO	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 DLOGY SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 COGNIZANT TECHNO X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 DLOGY SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	GUGG MACRO OPPT		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	X 10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DEVON ENERGY CO		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	DISCOVER FINANCIA	AL		
STOCK HELD OR ACQUIRED BY	DISCOVER FINANCIA	SPOUSE	DEPENDENT CHILD)
			DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY	X FILER	SPOUSE		_
ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499		_
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	☐ SPOUSE☐ X 100 TO 499☐ 10,000 OR MORE☐ \$5,000 - \$9,999	☐ 500 TO 999	1,000 TO 4,999
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	☐ SPOUSE☐ X 100 TO 499☐ 10,000 OR MORE☐ \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 DOUBLELINE TTL RE	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 DOUBLELINE TTL RE	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 DOUBLELINE TTL RE X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 T CL I SPOUSE 100 TO 499	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
1 BOSINESS ENTITY	EDWARDS LIFESCIE		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EMERSON ELECTRIC		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			VAME	
			NAME	
	ITC HOLDINGS CORF		VAIVIE	
STOCK HELD OR ACQUIRED BY	ITC HOLDINGS CORF		DEPENDENT CHILD)
STOCK HELD OR				
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FACEBOOK INC CLAS	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FACEBOOK INC CLA	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SS A SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FACEBOOK INC CLA X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SS A SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	FIRST TRUST ISE WI		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
Г	BUSINESS ENTITY	FIRST TRUST ISE DE		NAME	
H	STOCK HELD OR	FIRST TRUST ISE-RE	EVEIF		
	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DUIGINEGO ENTITY			NIA NA E	
	BUSINESS ENTITY	FIRST TR NASDAQ10		NAME	
	STOCK HELD OR ACQUIRED BY	FIRST TR NASDAQ10		NAME DEPENDENT CHILD)
	STOCK HELD OR		OOEX ETF		
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	DOEX ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	DOEX ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST FINANCE	DOEX ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CL ETF	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST FINANCE X FILER	DOEX ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CL ETF SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST FINANC X FILER LESS THAN 100	DOEX ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CL ETF SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
	FIRST TRUST TECHN		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST INDUS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	FIRST TRST HLTH CA	ARE ETF		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
				_
	LESS THAN 10K	10,000 OR MORE		_
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		\$5,000 - \$9,999	\$10,000 - \$24,999 NAME	\$25,000OR MORE
NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999		
BUSINESS ENTITY STOCK HELD OR	X LESS THAN \$5,000 FIRST TR NASDAQ 1	\$5,000 - \$9,999 00 ETF	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN \$5,000 FIRST TR NASDAQ 1 X FILER	\$5,000 - \$9,999 00 ETF SPOUSE	NAME DEPENDENT CHILD)
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN \$5,000 FIRST TR NASDAQ 1 X FILER X LESS THAN 100	\$5,000 - \$9,999 00 ETF SPOUSE 100 TO 499	NAME DEPENDENT CHILD)

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1 BUSINESS ENTITY	NAME FIRST TR D/J INTER ETF			
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST CONSU		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	FIRST TR ETF NYSE	ETF		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES INDIA 50 ET		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES				
NUMBER OF SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999

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1 BUSINESS ENTITY				
	GASLOG LTD	!	NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	HOME DEPOT INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	ISHARES MSCI PHILI	PP ETF		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	X LESS THAN \$5,000 ISHARES MSCI INDO		\$10,000 - \$24,999 NAME	\$25,000OR MORE
X NET LOSS				
BUSINESS ENTITY STOCK HELD OR	ISHARES MSCI INDO	NES ETF	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES MSCI INDO	NES ETF	NAME DEPENDENT CHILD)
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES MSCI INDO X FILER LESS THAN 100	NES ETF SPOUSE X 100 TO 499	NAME DEPENDENT CHILD)

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4 BUIGINIEGO ENITITY				
1 BUSINESS ENTITY	AETNA INC (NEW)		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CISCO SYSTEMS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES CHINA L/CA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES US REGL B		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES US REGL B		NAME DEPENDENT CHILD)
STOCK HELD OR		KS ETF		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	KS ETF SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	

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1 BUSINESS ENTITY				
	MARRIOTT INTL INC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MCKESSON CORPOR		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	METRPOLTAN WEST	T/R BD I		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	X 10,000 OR MORE		
IF SOLD X NET GAIN	X LESS THAN \$5,000	\$5,000 - \$9,999		
☐ NET LOSS			\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MICRON TECHNOLO		NAME	\$25,000OR MORE
	MICRON TECHNOLO			
BUSINESS ENTITY STOCK HELD OR		GY INC	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER	GY INC	NAME DEPENDENT CHILD)
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	GY INC SPOUSE X 100 TO 499	NAME DEPENDENT CHILD)

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1 BUSINESS ENTITY	MONDELEZ INTL		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PIMCO ALL ASSET/A		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	X 10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	QUALCOMM INC		NAME	
STOCK HELD OR ACQUIRED BY	QUALCOMM INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 HIGHLAND GLBL ALL	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 HIGHLAND GLBL ALL X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 COC FD Y SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 HIGHLAND GLBL ALL X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 OC FD Y SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	SOUTHWEST AIRLIN		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SPDR S&P REGNL B		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		I	NAME	
BUSINESS ENTITY	TEEKAY CORPORAT		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	TEEKAY CORPORAT		NAME DEPENDENT CHILD)
STOCK HELD OR		TION		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRACTOR SUPPLY C	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRACTOR SUPPLY C	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 COMPANY SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRACTOR SUPPLY CO X FILER X LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 COMPANY SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	UNITED CONTL HLDO		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	UNITED TECHNOLOG		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	VMWARE INC CLASS		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	VMWARE INC CLASS		NAME DEPENDENT CHILE)
STOCK HELD OR		S A		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SA	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	S A SPOUSE 100 TO 499	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	S A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	S A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST CONSI	S A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 UMERETF DISCRETION	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME NARY ALPHADEX FUN	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST CONSI	S A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 UMERETF DISCRETION SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME NARY ALPHADEX FUN DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE D
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST CONSI X FILER LESS THAN 100	S A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 UMERETF DISCRETIC SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME NARY ALPHADEX FUN DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE D

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1 BUSINESS ENTITY	NAME FIRST TRUST NASDAQ100ET TECHNOLOGY INDEXFUND			
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	AFFILIATED MANAGE		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
BUSINESS ENTITY	AMGEN INC	1	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	AMGEN INC	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BOSTON PROPERTIE	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BOSTON PROPERTIE X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 BOSTON PROPERTIE X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ES SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	BRISTOL MYERS SQ		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DEVON ENERGY CO		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	DOLIBLELINE EDS TE	R TOTAL RETURN BD	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X FILLIN			
	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EDWARDS LIFESCIE		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	FACEBOOK INC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST ISE WA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	FIRST TRUST ETF N	YSE ARCA BIOTEC		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST DOW J	ONES INTERNET INC	NAME DEX FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN		П фг ооо фо ооо		
NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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FIRST TRUST NASDA			
X FILER	SPOUSE	DEPENDENT CHILE)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIRST TRUST NASDA			
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIRST TRUST ISE-RE	VERE NATURAL GAS	INDEX FUND	
X FILER	SPOUSE	DEPENDENT CHILE)
LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIRST TRUST CONS			
X FILER	SPOUSE	DEPENDENT CHILE	·
X FILER X LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHILD	
	X FILER X LESS THAN 100 LESS THAN \$5,000 FIRST TRUST NASDA X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST ISE-RE X FILER X LESS THAN \$5,000 LESS THAN 10K X LESS THAN 10K X LESS THAN 10K	FIRST TRUST NASDAQ ETF 100 EX- TECH X FILER	X LESS THAN 100

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1 BUSINESS ENTITY	FIRST TRUST CONSU	ا JMER ETF STAPLES <i>ا</i>	NAME ALPHADEX FUND	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIRST TRUST ETF FI	NANCIAL ALPHADEX	NAME FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	FIRST TRST HLTH C	AREETF ALPHADEX F		
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FIRST TRST HLTH CA)
STOCK HELD OR		AREETF ALPHADEX F	UND	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	AREETF ALPHADEX F	UND DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	AREETF ALPHADEX F SPOUSE 100 TO 499	UND DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	AREETF ALPHADEX F SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	AREETF ALPHADEX F SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST ETF IN	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ER	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST ETF IN X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	UND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ER DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TRUST ETF IN X FILER LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 DUSTRIALS/PRODUC SPOUSE X 100 TO 499	UND DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ER DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	FIRST TRUST TECHN	i NLGY ETF ALPHADEX	NAME FUND	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	GUGGENHEIM FDS T	r * MACRO OPPORTI	NAME UNITIES FD	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	HIGHLAND FDS II GL	OBAL ALLOCATION F	NAME D	
	STOCK HELD OR ACQUIRED BY	HIGHLAND FDS II GL)
	STOCK HELD OR	X FILER LESS THAN 100	OBAL ALLOCATION F SPOUSE X 100 TO 499	D	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	OBAL ALLOCATION F	D DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	OBAL ALLOCATION F SPOUSE X 100 TO 499	D DEPENDENT CHILD	
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	OBAL ALLOCATION F SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D DEPENDENT CHILD	1,000 TO 4,999
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	OBAL ALLOCATION F SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BELG X FILER LESS THAN 100 LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SIUM ETF SPOUSE 100 TO 499	D DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BELG X FILER	OBAL ALLOCATION F SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	D DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BELG X FILER LESS THAN 100 LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SIUM ETF SPOUSE 100 TO 499	D DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	ISHARES MSCI ETF S	i SWITZERLAND CAPPE	NAME ED	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES ETF CHINA		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	ISHARES ETF DOW J		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES ETF DOW 3		NAME DEPENDENT CHILD)
STOCK HELD OR		IONES US		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INDIA 50 E	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INDIA 50 E	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 IF SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES INDIA 50 ET X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE S5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	ISHARES ETF MSCI F		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ITC HOLDINGS CORE		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	IVYFDSINC ASSET S	TRATEGY FD CL I		
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		l	NAME	
	MARRIOTT INTL INC	NEW CL A		
STOCK HELD OR ACQUIRED BY	MARRIOTT INTL INC	NEW CL A	DEPENDENT CHILD)
			DEPENDENT CHILD	1,000 TO 4,999
ACQUIRED BY	X FILER	SPOUSE		
ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499		

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_					
1	BUSINESS ENTITY	MCKESSON CORPOR		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	METROPOLITAN WE	1 ST FDS * TOTAL RETU	NAME JRN BD FD CL 1	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_					
	BUSINESS ENTITY	MICRON TECHNOLO		NAME	
	STOCK HELD OR ACQUIRED BY	MICRON TECHNOLO		NAME DEPENDENT CHILE)
	STOCK HELD OR		GY INC		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	GY INC SPOUSE X 100 TO 499	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	GY INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	GY INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 MONDELE2 INTL INC	GY INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 MONDELE2 INTL INC X FILER LESS THAN 100	GY INC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	PIMCO ALL ASSET A		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PROFESSIONALLY M	I IANAGED * PORTFOL	NAME HODGE FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	SPDR S&P REGNL BI		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	SPDR S&P REGNL BI		NAME DEPENDENT CHILE)
STOCK HELD OR		NKG ETF		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	NKG ETF	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SOUTHWEST AIRLIN	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SOUTHWEST AIRLIN X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ES CO SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SOUTHWEST AIRLIN X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ES CO SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	TRACTOR SUPPLY C		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	VMWARE INC CLASS		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
RUSINESS ENTITY			NAME	
BUSINESS ENTITY	GASLOG LTD	1	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	GASLOG LTD X FILER	SPOUSE	NAME DEPENDENT CHILD)
STOCK HELD OR				1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TEEKAY CORPORAT	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TEEKAY CORPORAT X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ION SPOUSE	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TEEKAY CORPORAT X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ION SPOUSE X 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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EASTMAN CHEMICAI		NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ROBERT HALF INTL I		NAME	
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		VAME	
BAXALTA INC		V WIL	
X FILER	SPOUSE	DEPENDENT CHILD	
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 10K	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BAXTER INTERNATIO		NAME	
BAXTER INTERNATIO)
	DNAL INC	NAME	1,000 TO 4,999
X FILER	DNAL INC	NAME DEPENDENT CHILD	
	X FILER X LESS THAN 100 LESS THAN \$5,000 ROBERT HALF INTL I X FILER LESS THAN 100 LESS THAN 10K LESS THAN 10K BAXALTA INC X FILER X LESS THAN 100	EASTMAN CHEMICAL CO X FILER	X FILER

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1 BUSINESS ENTITY				
	CVS CAREMARK CO		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES ETF 1-3 YR		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES ETE INTER	r MEDIATE CREDIT BOI	NAME ND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
, 10 Q 0 11 12 B 1				
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	
·	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	
·			500 TO 999 \$10,000 - \$24,999	
NUMBER OF SHARES IF SOLD NET GAIN	LESS THAN 10K	10,000 OR MORE \$5,000 - \$9,999		1,000 TO 4,999
NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	LESS THAN 10K X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	LESS THAN 10K X LESS THAN \$5,000 KINDER MORGAN IN	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LESS THAN 10K X LESS THAN \$5,000 KINDER MORGAN IN X FILER	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LESS THAN 10K X LESS THAN \$5,000 KINDER MORGAN IN X FILER X LESS THAN 100	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

STOCK

PART 2

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ı	which the child	is listed on the Go				
1	BUSINESS EN	TITY	PHILIP MORRIS INTE		IAME	
2	STOCK HELD (ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF S	SHARES	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD	NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS EN	TITY	POWERSHARS S&P I	N ETF SMALLCAP UTILIT	IAME ES	
	STOCK HELD (ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF S	SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD	X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	BUSINESS EN	TITV			LANE	
_			WESTERN DIGITAL C		JAME	
	STOCK HELD (OR	WESTERN DIGITAL C		DEPENDENT CHILD)
	STOCK HELD	OR ′		CORP		1,000 TO 4,999
	STOCK HELD (ACQUIRED BY	OR ′	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	

PART 3

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List all bonds, notes, and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under

1 DESCRIPTION OF INSTRUMENT	GNMA 6.53 DUE 12/1	5/25	
2 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
3 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	CVS CAREMARK COI	RP SR UNSECURED D	DUE 12/01/22
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	US TREASURY NOTE	S CPN 1.5% DUE 1/31	./19
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	DIAGEO CAPITAL PL	C COMPANY GTD DUE	E 05/1/17; DTD 05/11/12
DESCRIPTION OF	DIAGEO CAPITAL PLO	C COMPANY GTD DUE	
DESCRIPTION OF INSTRUMENT HELD OR			E 05/1/17; DTD 05/11/12
DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER X LESS THAN \$5,000	SPOUSE	E 05/1/17; DTD 05/11/12 ☐ DEPENDENT CHILD ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN X NET LOSS DESCRIPTION OF	X FILER X LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999	E 05/1/17; DTD 05/11/12 ☐ DEPENDENT CHILD ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR	X FILER X LESS THAN \$5,000 RIO TINTO FIN USA L	SPOUSE \$5,000 - \$9,999 TD NOTE DUE 9/20/21	E 05/1/17; DTD 05/11/12 ☐ DEPENDENT CHILD ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER X LESS THAN \$5,000 RIO TINTO FIN USA L X FILER	SPOUSE \$5,000 - \$9,999 TD NOTE DUE 9/20/21 SPOUSE \$5,000 - \$9,999	E 05/1/17; DTD 05/11/12 DEPENDENT CHILD \$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF	X FILER X LESS THAN \$5,000 RIO TINTO FIN USA L X FILER X LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999 TD NOTE DUE 9/20/21 SPOUSE \$5,000 - \$9,999	E 05/1/17; DTD 05/11/12 DEPENDENT CHILD \$10,000 - \$24,999 \$25,000OR MORE

PART 3

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1 DESCRIPTION OF INSTRUMENT 2 HELD OR ACQUIRED BY 3 IF SOLD	which the child is listed on the C	Cover Sheet.		
ACQUIRED BY X FILER		UNITED ISO TX GO		
DESCRIPTION OF INSTRUMENT		X FILER	SPOUSE	DEPENDENT CHILD
NET CAIN		X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
FILER		DUNCANVILLE, TX I	SD	
NET LOSS X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE		X FILER	SPOUSE	DEPENDENT CHILD
HELD OR ACQUIRED BY X FILER		X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
ACQUIRED BY		CT STATE AQUARIO	ON WTR CO SERB	
DESCRIPTION OF INSTRUMENT MATAGORDA CNTY, TX NAV DIST 2 HELD OR ACQUIRED BY IF SOLD DESCRIPTION OF INSTRUMENT IF SOLD DESCRIPTION OF INSTRUMENT LEE MEM HLTH SYS FL HOSP A REV HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD 15 SOLD DESCRIPTION OF INSTRUMENT LEE MEM HLTH SYS FL HOSP A REV HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD 16 SOLD DESCRIPTION OF INSTRUMENT DESCRIPTION OF INSTRUMENT WA STATE HLTH CARE FACS REV HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD 16 SOLD DESCRIPTION OF INSTRUMENT WA STATE HLTH CARE FACS REV HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD DESCRIPTION OF INSTRUMENT WA STATE HLTH CARE FACS REV HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD DEPENDENT CHILD		X FILER	SPOUSE	DEPENDENT CHILD
HELD OR ACQUIRED BY IF SOLD IF SOLD DESCRIPTION OF INSTRUMENT IF SOLD IF SOLD DESCRIPTION OF INSTRUMENT IF SOLD IF SOLD DESCRIPTION OF INSTRUMENT DESCRIPTION OF INSTRUMENT IF SOLD DESCRIPTION OF INSTRUMENT DESCRIPTION OF INSTRUMENT IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
ACQUIRED BY X FILER		MATAGORDA CNTY	, TX NAV DIST 2	
DESCRIPTION OF INSTRUMENT LEE MEM HLTH SYS FL HOSP A REV		X FILER	SPOUSE	DEPENDENT CHILD
HELD OR ACQUIRED BY X FILER	<u> </u>	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD IF SOLD X NET GAIN		LEE MEM HLTH SYS	FL HOSP A REV	
DESCRIPTION OF INSTRUMENT WA STATE HLTH CARE FACS REV HELD OR ACQUIRED BY X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE		X FILER	SPOUSE	DEPENDENT CHILD
HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD	<u> </u>	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD		WA STATE HLTH CA	RE FACS REV	
IF SOLD		X FILER	SPOUSE	DEPENDENT CHILD
UNET LOSS L	ACQUIRED BY IF SOLD X NET GAIN			

PART 3

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all bonds, notes, and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under

which the child is listed on the Cov	/er Sneet.			
1 DESCRIPTION OF INSTRUMENT	CLARK CNTY, NV INE	DL DEV REV		
2 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE
DESCRIPTION OF INSTRUMENT	MI ST HSG AUTH REI	NTAL HSG		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE
DESCRIPTION OF INSTRUMENT	MN STATE HSG FIN A	AGY RES D REV		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	MORE
DESCRIPTION OF INSTRUMENT	CERTIFICATES OF D	EPOSIT		
	CERTIFICATES OF D	EPOSIT SPOUSE	DEPENDENT CHILD	
INSTRUMENT HELD OR			DEPENDENT CHILD	MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER	SPOUSE \$5,000 - \$9,999		MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF	X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999		MORE
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR	X FILER LESS THAN \$5,000 MONEY MARKET FUI	SPOUSE \$5,000 - \$9,999 NDS	\$10,000 - \$24,999 \$25,000OR	
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN	X FILER LESS THAN \$5,000 MONEY MARKET FUI	SPOUSE \$5,000 - \$9,999 NDS SPOUSE \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	
INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF INSTRUMENT HELD OR ACQUIRED BY IF SOLD NET GAIN NET LOSS DESCRIPTION OF	X FILER LESS THAN \$5,000 MONEY MARKET FUI X FILER LESS THAN \$5,000	SPOUSE \$5,000 - \$9,999 NDS SPOUSE \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR	

PART 3

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	ii iiio requesteu	inionnadon is no	t applicable, indicate that on i	ago 2 or and dover officer	, and DO NOT Molde this	s page in the report
	List all bonds, n indicate the cate	otes, and other co	ommercial paper held or acqu unt of the net gain or loss real	uired by you, your spouse, ized from the sale. For mo	or a dependent child during ore information, see FORM I	the calendar year. If sold, PFSINSTRUCTION GUIDE.
	When reporting which the child	information about is listed on the Co	t a dependent child's activity, over Sheet.	indicate the child about wl	hom you are reporting by pr	roviding the number under
1	DESCRIPTION INSTRUMENT	OF	MONEY MARKET FUI	NDS		
2	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILE)
3	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 MUTUAL FUND			NAME	
	ALLIANZ TECHNOLO		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	AMCAP FUND CL A	١	NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			NAME	
	AMERICAN BALANCE	ED FUND		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	AMERICAN HIGH INC	OME TRUST		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	AMERICAN HIGH INC	SPOUSE	DEPENDENT CHILD)
			DEPENDENT CHILD	X 1,000 TO 4,999
HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	SPOUSE	_	<u></u>

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1 MUTUAL FUND			NAME	
	BLACKROCK GLOBA		VAIVIE	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	CAPITAL INCOME BU		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	DUFF & PHELPS SEL	ECT ENERGY MLP FU	IND INC	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	_		
		100 TO 499	500 TO 999	1,000 TO 4,999
	X 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	X 5,000 to 9,999		500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
l 브''' '		10,000 OR MORE \$5,000 - \$9,999		
□ NET LOSS	LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND SHARES OF MUTUAL FUND	LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999 VTH FUND	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	EUROPACIFIC GROV	10,000 OR MORE \$5,000 - \$9,999 VTH FUND SPOUSE	S10,000 - \$24,999 NAME DEPENDENT CHILD	\$25,000OR MORE

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	MUTUAL FUND	FIRST TRUST PORT		NAME FD DUR C/E PORT SER	25
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	X 10,000 OR MORE		
4	IF SOLD NET GAIN X NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	FIRST TRUST SR LO		NAME ED-END PORT SER 49R	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	X 10,000 OR MORE		
	IF SOLD NET GAIN X NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
F					
	MUTUAL FUND	FIRST TRUST INTER		NAME DRTFOLIA SERIES 83 C	ASH
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FIRST TRUST INTER			
_	SHARES OF MUTUAL FUND		EST RA TE HEDGE PO	DRTFOLIA SERIES 83 C	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	EST RA TE HEDGE PC	DRTFOLIA SERIES 83 C)
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	EST RA TE HEDGE PC	DRTFOLIA SERIES 83 C)
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 X 5,000 to 9,999	EST RA TE HEDGE PC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DRTFOLIA SERIES 83 C DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 X 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DRTFOLIA SERIES 83 C	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DRTFOLIA SERIES 83 C DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 FUNDAMENTAL INVE	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DRTFOLIA SERIES 83 C DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 FUNDAMENTAL INVE	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DRTFOLIA SERIES 83 C DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 FUNDAMENTAL INVE X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 STORS FUND SPOUSE 100 TO 499	DRTFOLIA SERIES 83 C DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1					
ľ	MUTUAL FUND	GOLDMAN SACHS T		NAME ON TAX I FREE FD INST	TL SHS
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		X 5,000 to 9,999	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	GROWTH FUND OF A		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			LANA	
ı			ľ	NAME	
	MOTORET OND	HODGES FUND PRO	FESSIONALLY MANAC	NAME SED PORTFOLIOS	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	HODGES FUND PRO)
	SHARES OF MUTUAL FUND		FESSIONALLY MANAC	GED PORTFOLIOS	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	FESSIONALLY MANAC	GED PORTFOLIOS DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	FESSIONALLY MANAC	GED PORTFOLIOS DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER	FESSIONALLY MANAC SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GED PORTFOLIOS DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GED PORTFOLIOS DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GED PORTFOLIOS DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INCOME FUND OF AI	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
=	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INCOME FUND OF AI	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INCOME FUND OF AI X FILER LESS THAN 100	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	INVESTMENT CO AM		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		X 5,000 to 9,999	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND		1	NAME	
		IVY FDS ASSET STR	ATEGY FD		
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE	_	
	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	AUTUA FUND				
	MUTUAL FUND	PIMCO ALL ASSET A		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	PIMCO ALL ASSET A		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		LL AUTHORITY FD) 1,000 TO 4,999
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	LL AUTHORITY FD	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	LL AUTHORITY FD SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 X 5,000 to 9,999	LL AUTHORITY FD SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 X 5,000 to 9,999	LL AUTHORITY FD SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000	LL AUTHORITY FD SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 SMALL CAP WORLD	LL AUTHORITY FD SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 SMALL CAP WORLD X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 FUND SPOUSE	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 X 5,000 to 9,999 LESS THAN \$5,000 SMALL CAP WORLD X FILER LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 FUND SPOUSE X 100 TO 499 100 TO 490 100 TO 490	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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	N	NAME	
HINGTON MUTU	IAL INVESTORS FUND		
ILER	SPOUSE	DEPENDENT CHILD	·
ESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
000 to 9,999	10,000 OR MORE		
ESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
LS FDS TR ULTF			
ILER	SPOUSE	DEPENDENT CHILD	
ESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
,000 to 9,999	X 10,000 OR MORE		
ESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	N	NAME	
RES IBOXX INV	GRADE CORP BOND I	FUND	
ILER	SPOUSE	DEPENDENT CHILD	
ESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
ESS THAN 100	100 TO 499		
ESS THAN 100 000 to 9,999 ESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
ESS THAN 100 000 to 9,999	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
ESS THAN 100 000 to 9,999 ESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ESS THAN 100 000 to 9,999 ESS THAN \$5,000 RES CORE S&P	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
ESS THAN 100 000 to 9,999 ESS THAN \$5,000 RES CORE S&P	100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SMALLCAP SPOUSE	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	ESS THAN 100 ,000 to 9,999 ESS THAN \$5,000 LS FDS TR ULTF ILER ESS THAN 100 ,000 to 9,999 ESS THAN \$5,000	SPOUSE SPOUSE SPOUSE SS THAN 100 X 100 TO 499 10,000 OR MORE SS THAN \$5,000 \$5,000 - \$9,999 SPOUSE SS THAN 100 100 TO 499 10,000 OR MORE SS THAN \$5,000 \$5,000 - \$9,999 SS THAN \$5,000 \$5,000 - \$9	SOUTO 999

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1	MUTUAL FUND	!SHARES MSCI GER!		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		•			
	MUTUAL FUND	ISHARES MSCI JAPA		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
E					
	MUTUAL FUND	ISHARES MSCI MALA		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	ISHARES MSCI MALA		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		AYSIA		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	AYSIA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	AYSIA SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 ISHARES MSCI UNIT	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME FUND	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 ISHARES MSCI UNIT	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ED KINGDOM INDEX F SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME UND DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	ISHARES CORE S&P	MIDCAP INDEX TRAC	NAME :KING FUND	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	POWERSHARES PRE	r EFERRED PORTFOLIC	NAME)	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F					
	MUTUAL FUND	POWERSHARES DB	1 COMMODITY INDEX T	NAME RACKING FUND	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	POWERSHARES DB			o
	SHARES OF MUTUAL FUND		COMMODITY INDEX T	RACKING FUND	D
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	COMMODITY INDEX T SPOUSE X 100 TO 499	RACKING FUND	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER	COMMODITY INDEX T SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	RACKING FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	COMMODITY INDEX T SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	RACKING FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	COMMODITY INDEX T SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	RACKING FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE PORTFOLIO
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 POWERSHARES S&F	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	RACKING FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME MER DISCRETIONARY	1,000 TO 4,999 \$25,000OR MORE PORTFOLIO
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 POWERSHARES S&F X FILER LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SMALL CAP CONSUMATION OF SPOUSE X 100 TO 499	RACKING FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME MER DISCRETIONARY DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE PORTFOLIO

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1	MUTUAL FUND	POWERSHARES S&F	SMALLCAP UTILITIES	NAME S PORTFOLIO	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	POWERSHARES S&F	SMALL CAP FINANCI	NAME IALS PORTFOLIO	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
⊢	<u>—</u>				
E	_				
	MUTUAL FUND	POWERSHARES S&F		NAME ATION TECHNOLOGY F	PORTFOLIO
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	POWERSHARES S&F			
	SHARES OF MUTUAL FUND		SMALLCAP INFORMA	ATION TECHNOLOGY F	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SMALLCAP INFORMA SPOUSE X 100 TO 499	ATION TECHNOLOGY F)
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	SMALLCAP INFORMA SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	ATION TECHNOLOGY F DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER	SMALLCAP INFORMA SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	ATION TECHNOLOGY F DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	SMALLCAP INFORMA SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	ATION TECHNOLOGY F DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 POWERSHARES S&F	SMALLCAP INFORMA SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	ATION TECHNOLOGY F DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME RIALS PORTFOLIO	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 POWERSHARES S&F X FILER LESS THAN 100	SMALLCAP INFORMA SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SMALLCAP INDUSTE SPOUSE X 100 TO 499	ATION TECHNOLOGY F DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME RIALS PORTFOLIO DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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POWERSHARES S&F	N SMALLCAP HEALTHO	NAME CARE PORTFOLIO	
X FILER	SPOUSE	DEPENDENT CHILD	·
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
SPDR BARCLAYS CA			
X FILER	SPOUSE	DEPENDENT CHILD	·
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		JAMF	
SPDR EURO STOXX			
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 100	X 100 TO 499 10,000 OR MORE		
_			
5,000 to 9,999	10,000 OR MORE \$5,000 - \$9,999	500 TO 999	1,000 TO 4,999
5,000 to 9,999 X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
5,000 to 9,999 X LESS THAN \$5,000 SPDR S&P 500 TRUS	10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
5,000 to 9,999 X LESS THAN \$5,000 SPDR S&P 500 TRUS X FILER	10,000 OR MORE \$5,000 - \$9,999	500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 SPDR BARCLAYS CA X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 SPDR EURO STOXX	LESS THAN 100 X 100 TO 499 5,000 to 9,999	LESS THAN 100

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1	MUTUAL FUND	VANGUARD FTSE DE	r EVELOPED MARKETS	NAME ETF	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN X NET LOSS	LESS THAN \$5,000	× \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		•			
	MUTUAL FUND	VANGUARD FTSE DE	r EVELOPED MARKETS	NAME ETF	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
\vdash		<u> </u>			
E	-				
	MUTUAL FUND	VANGUARD INTL EQ	ا UITY INDEX EMERGIN	NAME IG MARKETS ETF	
	-	VANGUARD INTL EQ)
	MUTUAL FUND SHARES OF MUTUAL FUND		UITY INDEX EMERGIN	IG MARKETS ETF	X 1,000 TO 4,999
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	UITY INDEX EMERGIN SPOUSE 100 TO 499	IG MARKETS ETF	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD	X FILER LESS THAN 100 5,000 to 9,999	UITY INDEX EMERGIN SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	IG MARKETS ETF ☐ DEPENDENT CHILE ☐ 500 TO 999 X \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	UITY INDEX EMERGIN SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	IG MARKETS ETF ☐ DEPENDENT CHILE ☐ 500 TO 999 X \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	UITY INDEX EMERGIN SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	IG MARKETS ETF ☐ DEPENDENT CHILE ☐ 500 TO 999 X \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INTL EQ	UITY INDEX EMERGIN SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	IG MARKETS ETF DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME IG MARKETS ETF	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN X NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INTL EQ X FILER LESS THAN 100	UITY INDEX EMERGIN SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 UITY INDEX EMERGIN SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 X \$10,000 - \$24,999 NAME IG MARKETS ETF DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 MUTUAL FUND			VAME	
	VANGUARD REIT	'	VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	VANGUARD TOTAL E		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			VAME	
	AB INTERMED DIVER			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	BLACKROCK EQUITY		NAME	
OLIABEO OF MUTUAL FUND	BLACKROCK EQUIT	- DIVIDEND		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999

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1 MUTUAL FUND			NAME	
	CBA AGGRESSIVE G		VAIVIE	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	COLUMBIA ACORN II		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			NAME	
	FRANKLIN FED INT-T			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	FRANKLIN HIGH YIEL		NAME	
SHARES OF MUTUAL FUND	THO WAYER THOSE THE			
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999

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		NAME	
FRANKLIN MUT GLBI		VAIVIE	
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FUNDAMENTAL INVE		NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	1	NAME	
HARBOR CAPITAL AI	PPRECIATION		
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		NAME	
HARTFORD DIVIDEN	D & GROWTH		
X FILER	SPOUSE	DEPENDENT CHILD)
X FILER	SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999	
	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FUNDAMENTAL INVEX X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 HARBOR CAPITAL AI X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE S	SPOUSE

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		IAME	
INVESCO COMSTOC		VAIVIL	
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
INVESCO INTER NAT		NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		NAME	
OAK RIDGE SMALL C	CAP GROWTH		
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
JP MORGAN INTERN		NAME	
JP MORGAN INTERN		NAME DEPENDENT CHILD)
	ATIONAL VALUE		X 1,000 TO 4,999
X FILER	ATIONAL VALUE	DEPENDENT CHILD	
	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INVESCO INTER NATE X FILER LESS THAN \$5,000 OAK RIDGE SMALL COME X FILER LESS THAN 100 S,000 to 9,999 ILESS THAN 100 S,000 to 9,999 Company Compan	INVESCO COMSTOCK	X FILER

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		NAME	
MANNING & NAPIER		VAIVIE	
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MFS GROWTH FUND		NAME	
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	<u> </u>	NAME	
MFS MUNICIPAL INC	OME FD		
X FILER	SPOUSE	DEPENDENT CHILD	
LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
PERKINS MID CAP V		NAME	
PERKINS MID CAP V		NAME DEPENDENT CHILD)
	ALUE FD		1,000 TO 4,999
X FILER	ALUE FD SPOUSE	DEPENDENT CHILD	
	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 MFS GROWTH FUND X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 MFS MUNICIPAL INC X FILER LESS THAN 100 5,000 to 9,999	MANNING & NAPIER WORLD OPP X FILER	X FILER

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1 MUTUAL FUND			NAME	
	PRUDENTIAL JENN N		VAIVIE	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	RIDGEWORTH SMAL		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			NAME	
	T ROWE PRICE SUM	MIT MUNI INT		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	TAY EYEMDT BOND		NAME	
	TAX EXEMPT BOND		NAME	
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	TAX EXEMPT BOND X FILER		NAME DEPENDENT CHILD)
SHARES OF MUTUAL FUND		FD		X 1,000 TO 4,999
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	FD SPOUSE	DEPENDENT CHILD	<u></u>

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1 MUTUAL FUND			VAME	
	THORNBURG LIMITE		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	WELLS FARGO ADV		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	AMERICAN INTL GRO	OWTH & INCOME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	·
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	CAPITAL INCOME BU		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 100	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999

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1 MUTUAL FUND	CADITAL WORLD OR	1 OWTH & INCOME FD	NAME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	INCOME FUND OF A		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	NEW WORLD FUND	١	NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILD	1,000 TO 4,999
HELD OR ACQUIRED BY NUMBER OF SHARES OF	LESS THAN 100	100 TO 499		
NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 EGSHARES EMERGI	100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X 500 TO 999 \$10,000 - \$24,999 NAME IMER	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	ENERGY SELECT SE		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND			VAME	
		FINANCIAL SELECT			
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	·
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND		,	NAME.	
	MUTUAL FUND	INDEX SHS INC MSC	I S. KOREA WEBS INC	NAME DEX FUND	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	INDEX SHS INC MSC)
	SHARES OF MUTUAL FUND		I S. KOREA WEBS IND	DEX FUND	D
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	S SPOUSE	DEX FUND DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEX FUND DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEX FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER X LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEX FUND DEPENDENT CHILE 500 TO 999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEX FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INDUSTRIAL SELECT	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEX FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INDUSTRIAL SELECT X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEX FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 INDUSTRIAL SELECT X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SECTOR SPDR SPOUSE X 100 TO 499	DEX FUND DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	ISHARE TR-S&P SMA		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	ISHARES BARCLAYS		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_					
		<u> </u>			
	MUTUAL FUND	ISHARES BA RC LAY	r S 7-10 YR TREAS BON	NAME ND	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	ISHARES BA RC LAY)
	SHARES OF MUTUAL FUND		S 7-10 YR TREAS BON	ND	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	S 7-10 YR TREAS BON SPOUSE 100 TO 499	DEPENDENT CHILD	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	S 7-10 YR TREAS BON SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	S 7-10 YR TREAS BON SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	S 7-10 YR TREAS BON SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 ISHA RES BARCLAYS	S 7-10 YR TREAS BON SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME EDIT BOND FUND	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 ISHA RES BARCLAYS X FILER X LESS THAN 100	S 7-10 YR TREAS BON SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 INTERMEDIATE CRE SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME EDIT BOND FUND DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 MUTUAL FUND		N	NAME	
	ISHARES BARCLAYS	1-3 YR CREDIT BOND) FUND	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	ISHARES !BOXX INV	GRADE CORP BOND I	NAME FUND	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		N	NAME	
	ISHARES MSCI GERN	MANY		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		١	NAME	
	!SHARES MSCI INDO	NESIA INVESTABLE M	MARKET INDEX FUND	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	—			
	5,000 to 9,999	10,000 OR MORE		

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1	MUTUAL FUND	ISHARES MSCI JAPA		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		I			
	MUTUAL FUND	ISHARES MSCI MALA	1 A YSUA INDEX FD WEI	NAME BS INDEX FD INC	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
-					
E					
	MUTUAL FUND	ISHARES MSCI EAFE		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	ISHARES MSCI EAFE		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		INDEX FUND		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 ISHARES MSCI MEXI	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME KET INDEX FUND	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 ISHARES MSCI MEXI X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 CO INVESTABLE MAR SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME EKET INDEX FUND DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	ISHARES MSCI UNIT	PED KINGDOM INDEX F	NAME FUND	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	ISHARES S&P NORT		NAME DLOGY NETWORKING I	NDEX FD
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	☐ NET LOSS				
	MUTUAL FUND	ISHARES TR S&P MII		NAME	
		ISHARES TR S&P MII		NAME DEPENDENT CHILD)
	MUTUAL FUND SHARES OF MUTUAL FUND		DCAP 400 INDEX FD		1,000 TO 4,999
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER	SPOUSE SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SP	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 POWERSHARES DB	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ACKING FUND	1,000 TO 4,999 \$25,000OR MORE
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 POWERSHARES DB X FILER LESS THAN 100	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE STATE SPOUSE SPOUS	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME ACKING FUND DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 MUTUAL FUND			NAME	
	RYDEX RUSSELL TO		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	SELECT SECTOR SP	DR CONSUMER STAF	NAME PLES	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	SELECT SECTOR SP	DR FD MATERIALS		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	SELECT SECTOR SP	n DR FD HEALTH CARE	NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	<u></u>	<u> </u>	Ш	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
		X 100 TO 499 10,000 OR MORE	_	

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1	MUTUAL FUND	SELECT SECTOR SP	DR FD CONSUMER D	NAME ISCRETIONARY	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	SPDR BARCLAYS CA	NP HIGH YIELD BOND	NAME FD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
=					
E					
	MUTUAL FUND	SPDR EURO STOXX		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	SPDR EURO STOXX		NAME DEPENDENT CHILE)
	SHARES OF MUTUAL FUND		50		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	50 SPOUSE	DEPENDENT CHILD	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	50 SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER	50 SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	50 SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 SPDR S&P BANK	50 SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 SPDR S&P BANK X FILER LESS THAN 100	SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	SPDR S&P RETAIL	1	NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND		ſ	NAME	
		VANGUARD TELECO	MMUNICATIONS SER	V	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
Н	MITUAL FUND		,	NAME	
	MUTUAL FUND	VANGUARD DEVELO		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	VANGUARD DEVELO		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	PED MARKETS SPOUSE	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	PED MARKETS SPOUSE	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER	PED MARKETS SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	PED MARKETS SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	PED MARKETS SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INFORM X FILER	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INFORM X FILER LESS THAN 100	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INFORM X FILER	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 VANGUARD INFORM X FILER LESS THAN 100	SPOUSE SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1 MUTUAL FUND			NAME	
	VANGUARD MSCI EA		VAIVIE	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	VANGUARD MSCI EN		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	VANGUARD REIT			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	VANGUARD TOTAL E		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999

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		NAME	
FIRST TRUST HEALT		VAIVIE	
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIRST TRUST FINAN			
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	1	NAME	
FIRST TRUST NYSE	ARCA BIOTEC ETF		
X FILER	SPOUSE	DEPENDENT CHILD)
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIRST TRUST CONS			
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 100		ш	—
5,000 to 9,999	10,000 OR MORE		_
	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FIRST TRUST FINAN X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FIRST TRUST NYSE X FILER X LESS THAN \$5,000 LESS THAN \$5,000 FIRST TRUST CONSI	FIRST TRUST HEALTHCARE ETF X FILER	LESS THAN 100

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1 MU	JTUAL FUND	FIRST TRUST CONO.		NAME	
2 S⊦	HARES OF MUTUAL FUND	FIRST TRUST CONS	JMER STAPLES ALPH	A DEX FD ETF	
	ELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	JMBER OF SHARES OF JTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
4 IF	SOLD NET GAIN				
	☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MU	UTUAL FUND		1	NAME	
		FIRST TRUST DOW J	ONES INTERNET IND	EX FD ETF	
	HARES OF MUTUAL FUND ELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
1	JMBER OF SHARES OF JTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
IF	SOLD NET GAIN				
	NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
М	JTUAL FUND		1	NAME	
MU	JTUAL FUND	FIRST TRUST ISE WA		NAME	
SH	UTUAL FUND HARES OF MUTUAL FUND ELD OR ACQUIRED BY	FIRST TRUST ISE WA		NAME DEPENDENT CHILE)
SH HE NU	HARES OF MUTUAL FUND		ATER INDEX FD ETF) 1,000 TO 4,999
SH HE NU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF	X FILER	ATER INDEX FD ETF	DEPENDENT CHILE	
SH HE NU MU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE X 100 TO 499 10,000 OR MORE	DEPENDENT CHILE	1,000 TO 4,999
SH HE NU MU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILE	
SH-HE NU MU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND SOLD NET GAIN	X FILER LESS THAN 100 5,000 to 9,999	ATER INDEX FD ETF SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	1,000 TO 4,999
SH-HE NU MU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	ATER INDEX FD ETF SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
SH-HE NU MU	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	ATER INDEX FD ETF SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE D ETF
SH-HE NU MU SH-HE	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JIMBER OF SHARES OF JTUAL FUND SOLD NET GAIN NET LOSS JTUAL FUND HARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FIRTSTTRUST NASD	ATER INDEX FD ETF SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DGY SECTOR INDEX F	1,000 TO 4,999 \$25,000OR MORE D ETF
SH-HE NU MU SH-HE	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND SOLD NET GAIN NET LOSS JTUAL FUND HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FIRTSTTRUST NASD X FILER	SPOUSE SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 AQ 100 EX-TECHNOLO	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DGY SECTOR INDEX F	1,000 TO 4,999 \$25,000OR MORE DETF
SH HE	HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF JTUAL FUND SOLD NET GAIN NET LOSS JTUAL FUND HARES OF MUTUAL FUND ELD OR ACQUIRED BY JMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 FIRTSTTRUST NASD X FILER LESS THAN 100	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE S5,000 - \$9,999 SPOUSE SPOUSE X 100 TO 499 SPOUSE SPOUSE X 100 TO 499 SPOUSE SPOUSE X 100 TO 499 SPOUSE SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DGY SECTOR INDEX F	1,000 TO 4,999 \$25,000OR MORE DETF

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		NAME	
ISHARES DOW JONE		VAIVIE	
X FILER	SPOUSE	DEPENDENT CHILD	
X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ISHARES U.S. REGIO		NAME	
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ISHARES SWITZERL			
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ISHARES MSCI BELG			
X FILER	SPOUSE	DEPENDENT CHILD)
X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	1,000 TO 4,999
		_	
	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 ISHARES U.S. REGIO X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 ISHARES SWITZERLA X FILER LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	ISHARES DOW JONES US ETF	X FILER SPOUSE DEPENDENT CHILD X LESS THAN 100 100 TO 499 500 TO 999 5,000 to 9,999 10,000 OR MORE X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 NAME ISHARES U.S. REGIONAL BANKS ETF X FILER SPOUSE DEPENDENT CHILD LESS THAN 100 X 100 TO 499 500 TO 999 5,000 to 9,999 10,000 OR MORE ISHARES SWITZERLAND CAPPED EWL ETF X FILER SPOUSE DEPENDENT CHILD LESS THAN 100 X 100 TO 499 500 TO 999 5,000 to 9,999 10,000 OR MORE

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1	MUTUAL FUND	ISHARES MSCI SPAI	ا N CAPPED EWP ETF	NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	GUGGENHEIM FDS	r R MACRO OPPORTU	NAME NITIES FUND INSTL	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
┕					
E					
	MUTUAL FUND	DOUBLELINE FDS TR	r TOTAL RETURN BD	NAME FD	
		DOUBLELINE FDS TE)
	MUTUAL FUND SHARES OF MUTUAL FUND		R TOTAL RETURN BD	FD	X 1,000 TO 4,999
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE 100 TO 499	FD DEPENDENT CHILE	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	FD DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	TOTAL RETURN BD SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 METROPOLITAN WE	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME RN BD FD	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 METROPOLITAN WE X FILER LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ST FDS TOTAL RETUR SPOUSE 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME RN BD FD DEPENDENT CHILE	X 1,000 TO 4,999 \$25,000OR MORE

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1 MUTUAL FUND		N	NAME	
	INVESTMENT CO AM		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X 5,000 to 9,999	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	ISHARES CORE S&P		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	ICHARES CORE CAR		NAME	
CHARGO OF MUTUAL FUND	ISHARES CORE S&P	MIDCAP INDEX TRAC	KING FUND	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	<u> </u>		500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
MUTUAL FUND IF SOLD X NET GAIN	5,000 to 9,999 X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	
MUTUAL FUND IF SOLD X NET GAIN NET LOSS	5,000 to 9,999 X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND IF SOLD X NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	5,000 to 9,999 X LESS THAN \$5,000 POWERSHARES PRE	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999 NAME	\$25,000OR MORE
MUTUAL FUND IF SOLD	5,000 to 9,999 X LESS THAN \$5,000 POWERSHARES PRE X FILER	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999 NAME DEPENDENT CHILD	\$25,000OR MORE

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	MUTUAL FUND	POWERSHARES S&F	SMALL CAP FINANC	NAME IALS PORTFOLIO	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE		
4	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND		1	NAME	
		SPDR S&P 500 TRUS	ST		
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
		5,000 to 9,999	10,000 OR MORE	_	_
L	IF COLD.		10,000 OK MOKE		
	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
⊨					
	MUTUAL FUND	BLACKROCK EQUITY		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	BLACKROCK EQUITY		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND	X FILER	/ DIVIDEND	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	ODIVIDEND SPOUSE 100 TO 499		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND	X FILER	DIVIDEND SPOUSE	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	ODIVIDEND SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN	X FILER X LESS THAN 100 5,000 to 9,999	ODIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 CBA AGGRESSIVE G X FILER	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 CBA AGGRESSIVE G X FILER X LESS THAN 100	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ROWTH I SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 CBA AGGRESSIVE G X FILER	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD X NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 X LESS THAN \$5,000 CBA AGGRESSIVE G X FILER X LESS THAN 100	DIVIDEND SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ROWTH I SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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			NAME	
1 MUTUAL FUND	FRANKLIN HIGH YIEI		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	FRANKLIN MUT GLBI		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		<u> </u>	NAME	
	FUNDAMENTAL INVE	STORS		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	П			
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	
MUTUAL FUND		\$5,000 - \$9,999		
□ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999		\$25,000OR MORE
MUTUAL FUND SHARES OF MUTUAL FUND	X LESS THAN \$5,000 HARBOR CAPITAL AI	\$5,000 - \$9,999 PPRECIATION	NAME	\$25,000OR MORE
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X LESS THAN \$5,000 HARBOR CAPITAL AI X FILER	\$5,000 - \$9,999 PPRECIATION SPOUSE	NAME DEPENDENT CHILD	\$25,000OR MORE

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1 MUTUAL FUND			NAME	
	INVESCO INTER NAT		VAIVIL	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	OAK RIDGE SMALL C		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND		1	NAME	
	MFS GROWTH FUND	1		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN	V LEGG THAN \$5 000			
☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	MFS MUNICIPAL INC	1	\$10,000 - \$24,999 NAME	\$25,000OR MORE
		1		
MUTUAL FUND SHARES OF MUTUAL FUND	MFS MUNICIPAL INC	OME FD	NAME	
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	MFS MUNICIPAL INC	OME FD SPOUSE	NAME DEPENDENT CHILD)

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1 MUTUAL FUND			NAME	
	PERKINS MID CAP V		VAIVIE	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	PRUDENTIAL JENN N		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND			NAME	
	RIDGEWORTH SMAL	L CAP VALUE		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 to 9,999	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
			<u> </u>	
MUTUAL FUND	T ROWE PRICE SLIM		NAME	
	T ROWE PRICE SUM		NAME	
MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	T ROWE PRICE SUM		NAME DEPENDENT CHILD)
SHARES OF MUTUAL FUND		MIT MUNI INT		1,000 TO 4,999
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	MIT MUNI INT	DEPENDENT CHILD	

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1	MUTUAL FUND	GUGGENHEIM FDS T	1 R MACRO OPPORTUI	NAME NITIES FD	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	DOUBLELINE FDS TF	r TOTAL RETURN BD	NAME FD CL I	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	HIGHLAND FDS I	١	NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	HIGHLAND FDS I	SPOUSE	NAME DEPENDENT CHILE)
	SHARES OF MUTUAL FUND				X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILD	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 METROPOLITAN WE:	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 METROPOLITAN WE: X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ST FDS SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	HIGHLAND FDS II	١	NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	HIGHLAND FDS I	1	NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	HIGHLAND FDS II	1	NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS EDWARD JONES ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 324 SUNSET STREET
	DENTON, TX 76201
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS WELLS FARGO ADVISORS ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 13355 NOEL ROAD, SUITE 1500 DALLAS, TX 75240
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS CHESAPEAKE OPERATING INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PO BOX 18496 OKLAHOMA CITY, OK 73154
RECEIVED BY	
RECEIVED BY AMOUNT	OKLAHOMA CITY, OK 73154
	OKLAHOMA CITY, OK 73154 X FILER SPOUSE DEPENDENT CHILD
AMOUNT	OKLAHOMA CITY, OK 73154 X FILER
SOURCE OF INCOME	OKLAHOMA CITY, OK 73154 X FILER

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS LEWIS PETRO PROPERTIES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 10101 REUNION PLACE, SUITE 1000
	SAN ANTONIO, TX 78216
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS PITTS ENERGY COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 3312 CALDERA BLVD MIDLAND, TX 79701
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
	NAME AND ADDRESS ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR AUSTIN, TX 78701
RECEIVED BY	ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR
	ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR AUSTIN, TX 78701
RECEIVED BY	ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR AUSTIN, TX 78701 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR AUSTIN, TX 78701 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE SUITE 904
RECEIVED BY AMOUNT SOURCE OF INCOME	ARABELLA PETROLEUM COMPANY LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 100 CONGRESS AVE 18TH FLOOR AUSTIN, TX 78701 X FILER

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	ARABELLA OPERATING LLC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 509 PECAN STREET STE 200
	509 PECAN STREET STE 200
	FORT WORTH, TX 76102
2 RECEIVED BY	W su sp
	X FILER DEPENDENT CHILD
3 AMOUNT	\$500 - \$4,999 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
	LEWIS PETRO PROPERTIES, INC.
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	10101 REUNION PLACE SUITE 1000
	SAN ANTONIO, TX 78216
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	
AMOUNT	\$500 - \$4,999 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	RUST OIL CORPORATION
SOURCE OF INCOME	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME	RUST OIL CORPORATION
SOURCE OF INCOME	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904
	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME RECEIVED BY	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904
	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH , TX 76116 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH , TX 76116
RECEIVED BY AMOUNT	RUST OIL CORPORATION
RECEIVED BY	RUST OIL CORPORATION
RECEIVED BY AMOUNT	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH , TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY
RECEIVED BY AMOUNT	RUST OIL CORPORATION
RECEIVED BY AMOUNT	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH , TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004
RECEIVED BY AMOUNT SOURCE OF INCOME	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER
RECEIVED BY AMOUNT SOURCE OF INCOME	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH , TX 76116 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004

PART 5

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1 SOURCE OF INCOME	NAME AND ADDRESS APACHE CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 2000 POST OAK BLVD, SUITE 100
	HOUSTON, TX 77056
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS PIONEER NATURAL RESRC USA INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 3178 MIDLAND, TX 79702
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS CHESAPEAKE OPERATING, LLC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496 OKLAHOMA CITY, OK 73154
RECEIVED BY	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496
RECEIVED BY AMOUNT	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496 OKLAHOMA CITY, OK 73154
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496 OKLAHOMA CITY, OK 73154 X FILER SPOUSE DEPENDENT CHILD
AMOUNT	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496 OKLAHOMA CITY, OK 73154 X FILER
AMOUNT SOURCE OF INCOME	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 18496 OKLAHOMA CITY, OK 73154 X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	PARSLEY ENERGY OPERATIONS, LLC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PO BOX 11090
	MIDLAND, TX 79702
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
	A FILER SPOUSE DEFENDENT CHILD
3 AMOUNT	\$500 - \$4,999 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
	\$4000 \$1,000 \$
SOURCE OF INCOME	NAME AND ADDRESS
	PRIMERO OPERATING INC.
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PO BOX 1433
	ROSWELL, NM 88202
RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	
7 11110 01111	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
	PLAINS MARKETING, L.P.
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PO BOX4648
	HOUSTON, TX 77210
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOUNCE OF INCOME	ENLINK MIDSTREAM OPERATING LP
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	2501 CEDAR SPRINGS ROAD, STE. 100
	2001 OLDAN OF NINGO NOAD, OTE. 100
	DALLAS, TX 75201
RECEIVED BY	BALLAG, TA 19201
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	<u> </u>
AMOUNT	
	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
	X \$500 - \$4,999 S5,000 - \$9,999 S25,000OR MORE

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

NAME AND ADDRESS		
ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 61859 HOUSTON, TX 77208 2 RECEIVED BY X FILER	1 SOURCE OF INCOME	
PO BOX 61859		
HOUSTON, TX 77208		
2 RECEIVED BY		PO POV 01039
X FILER		HOUSTON, TX 77208
SOURCE OF INCOME	2 RECEIVED BY	X EII ER SPOUSE DEPENDENT CHILD
\$500 - \$4,999 \$10,000 - \$24,999 \$25,000-OR MORE		TILLIN STOOL BEFENDENT GIRLS
CHESAPEAKE OPERATING, LLC	3 AMOUNT	\$500 - \$4,999 X \$5,000 - \$9,999 S10,000 - \$24,999 \$25,000OR MORE
ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P.O. BOX 18496 OKLAHOMA CITY, OK 73154 RECEIVED BY X FILER	SOURCE OF INCOME	NAME AND ADDRESS
P.O. BOX 18496 OKLAHOMA CITY, OK 73154 RECEIVED BY X FILER		CHESAPEAKE OPERATING, LLC
OKLAHOMA CITY, OK 73154 RECEIVED BY X FILER		ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY		P.O. BOX 18496
RECEIVED BY		
	DEGENIED DV	OKLAHOMA CITY, OK 73154
X \$500 - \$4,999	RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
SOURCE OF INCOME COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD AMOUNT SOURCE OF INCOME CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD AMOUNT	AMOUNT	X \$500 - \$4 999
COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD AMOUNT NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD AMOUNT AMOUNT BY STATE; ZIP CODE AMOUNT AMOUNT DEPENDENT CHILD AMOUNT ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE BY X FILER SPOUSE DEPENDENT CHILD AMOUNT AMOUNT		
ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD		1
MIDLAND, TX 79701 RECEIVED BY X FILER	SOURCE OF INCOME	NAME AND ADDRESS
MIDLAND, TX 79701 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD SPOUSE STATE: ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD SPOUSE CITY: STATE: ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD SPOUSE SPOUSE DEPENDENT CHILD SPOUSE SPOUS	SOURCE OF INCOME	
RECEIVED BY X FILER	SOURCE OF INCOME	COG OPERATING LLC
RECEIVED BY X FILER	SOURCE OF INCOME	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X FILER	SOURCE OF INCOME	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE
SOURCE OF INCOME NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD		COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE
SOURCE OF INCOME RAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD		COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701
CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD
ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD
6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY AMOUNT	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
MIDLAND, TX 79705 RECEIVED BY X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY AMOUNT	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC.
RECEIVED BY X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY AMOUNT	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X FILER SPOUSE DEPENDENT CHILD	RECEIVED BY AMOUNT	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
AMOUNT — — — — —	RECEIVED BY AMOUNT	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100
AMOUNT	RECEIVED BY AMOUNT SOURCE OF INCOME	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705
	RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705
	RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	COG OPERATING LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 600 W ILLINOIS AVE MIDLAND, TX 79701 X FILER SPOUSE DEPENDENT CHILD SPOUSE STATE; STATE; ZIP CODE NAME AND ADDRESS CLAYTON WILLIAMS ENERGY, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6 DESTA DRIVE, STE 1100 MIDLAND, TX 79705 X FILER SPOUSE DEPENDENT CHILD SPOUSE DEPENDENT CHILD SPOUSE DEPENDENT CHILD SPOUSE DEPENDENT CHILD SPOUSE

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS Endeavor Energy Resources LP. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 110 N Marienfeld Ste 200
	MIDLAND, TX 79701
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS ICA ENERGY, INC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. O. BOX 233 ODESSA, TX 79760
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712 ODESSA, TX 79760
RECEIVED BY	ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712
	ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712 ODESSA, TX 79760
RECEIVED BY	ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712 ODESSA, TX 79760 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712 ODESSA, TX 79760 X FILER SPOUSE DEPENDENT CHILD S500 - \$4,999 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000 - OR MORE NAME AND ADDRESS LEWIS PETRO PROPERTIES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 10101 REUNION PLACE, SUITE 1000
RECEIVED BY AMOUNT SOURCE OF INCOME	ICA ENERGY OPERATING, LLC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE P. 0. BOX 2712 ODESSA, TX 79760 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS LEWIS PETRO PROPERTIES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 10101 REUNION PLACE, SUITE 1000 SAN ANTONIO, TX 78216

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	MIDDLEON OIL COMPANY
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	4306 YOAKUM BLVD., SUITE 540
	HOUSTON, TX 77006
2 RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	
	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
	OXY USA WTP LP
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PO BOX 27570
	PO BOX 27370
	HOUSTON, TX 77227
RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	
AMOONT	\$5,000 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	NAME AND ADDRESS PHILLIPS 66 COMPANY
SOURCE OF INCOME	PHILLIPS 66 COMPANY
SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME	PHILLIPS 66 COMPANY
SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER
SOURCE OF INCOME RECEIVED BY	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER
RECEIVED BY	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004
	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000 - OR MORE
RECEIVED BY	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 \[\text{SILER} \] SPOUSE \[\text{DEPENDENT CHILD} \] \[\text{\$\text{\$\text{\$\text{5}},000} - \$\text{\$\tex{
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 \[\] FILER \[\] SPOUSE \[\] DEPENDENT CHILD \[\] \[
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 \[\] FILER \[\] SPOUSE \[\] DEPENDENT CHILD \[\] \[
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 1415 LOUISIANA ST., STE 1600
RECEIVED BY AMOUNT SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 1415 LOUISIANA ST., STE 1600 HOUSTON, TX 77002
RECEIVED BY AMOUNT SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 1415 LOUISIANA ST., STE 1600
RECEIVED BY AMOUNT SOURCE OF INCOME	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	PHILLIPS 66 COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 411 S KEELER BARTLESVILLE, OK 74004 X FILER SPOUSE DEPENDENT CHILD \$500 - \$4,999 X \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS SABINE OIL & GAS CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 1415 LOUISIANA ST., STE 1600 HOUSTON, TX 77002

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
	SHERIDAN PRODUCTION COMPANY LLC
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	9 GREENWAY PLAZA SUITE 1300
	LIQUOTON TV 77040
	HOUSTON, TX 77046
2 RECEIVED BY	V FILED CHOOLICE CHILD
	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	
	\$5,000 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 X \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
	J CLEO THOMPSON
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	325 N ST PAUL SUITE 4300
	323 N 31 FAGE 3011L 4300
	DALLAS, TX 75201
RECEIVED BY	
	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	
7	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME	
SOURCE OF INCOME	ROBERTSON RESOURCES, INC.
SOURCE OF INCOME	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME	ROBERTSON RESOURCES, INC.
SOURCE OF INCOME	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415
SOURCE OF INCOME	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
SOURCE OF INCOME RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708
	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415
RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD
	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708
RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY AMOUNT	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116
RECEIVED BY AMOUNT SOURCE OF INCOME	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116
RECEIVED BY AMOUNT SOURCE OF INCOME	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD SESSION SES
RECEIVED BY AMOUNT SOURCE OF INCOME RECEIVED BY	ROBERTSON RESOURCES, INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE PO BOX 9415 MIDLAND, TX 79708 X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS RUST OIL CORPORATION ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 6300 RIDGLEA PLACE, SUITE 904 FORT WORTH, TX 76116

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE

Ì	NSTRUCTION GUIDE.	ar arra marcato trio catogory		,	, , G ,
\	When reporting information abou which the child is listed on the Co	t a dependent child's activity over Sheet.	y, indicate the child about w	hom you are reporting by pr	oviding the number under
1 5	SOURCE OF INCOME	CROWNQUEST OPE ADDRESS / 18 DESTA DRIVE MIDLAND, TX 79705	ERATING, LLC PO BOX; APT / SUITE	ND ADDRESS #; CITY; STATE;	ZIP CODE
2 F	RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 /	AMOUNT	\$500 - \$4,999	\$5,000 - \$9,999	× \$10,000 - \$24,999	\$25,000OR MORE

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY ☑ FILER □ SPOUSE □ DEPENDENT CHILD 2 STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE □ MOT AVAILABLE 1500 Dillman St. ☑ CHECK IF FILERS Austin, TX 78703 3 DESCRIPTION NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED □ LOTS ACRES TRAVIS 4 NAMES OF PERSONS RETAINING AN INTEREST □ NET CAIN INTEREST ☑ NOT APPLICABLE (SEVERED MINERAL INTEREST) □ LESS THAN \$5,000 □ \$5,000 - \$9,999 □ \$10,000 - \$24,999 □ \$25,000 - OR MORE HELD OR ACQUIRED BY INTEREST ☑ FILER □ SPOUSE □ DEPENDENT CHILD □ STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE □ NOT AVAILABLE (CHECK IF FILERS) □ DESCRIPTION □ LOTS (ACRES IN DAME OF COUNTY WHERE LOCATED) □ LOTS (ACRES IN DAME OF COUNTY WHERE LOCATED) □ LOTS (SEVERED MINERAL INTEREST) ☑ NOTA APPLICABLE (SEVERED MINERAL INTEREST) </th <th></th> <th></th> <th></th> <th></th> <th></th>					
STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE	1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
TRAVIS A NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SESVERED MINERAL INTEREST) TOTAL POLICABLE (SESVERED MINERAL INTEREST) LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000 - OR MORE HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE NOT AVAILABLE CHECK IF FILERS HOME ADDRESS DESCRIPTION NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1,00000 lots MIDLAND NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SESVERED MINERAL INTEREST) IF SOLD NET GAIN	NOT AVAILABLE X CHECK IF FILER'S		STREET ADDRESS, INCLU	DING CITY, COUNTY, AND	STATE
RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL IF SOLD	LOTS		BER OF LOTS OR ACRES AN	ID NAME OF COUNTY WHE	ERE LOCATED
HELD OR ACQUIRED BY STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE	RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL				
STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE NOT AVAILABLE	HINET GAIN	LESS THAN \$5,	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	☐ NET LOSS				
		X FILER	SPOUSE	DEPENDENT CHILD)
RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST) IF SOLD NET GAIN LESS THAN \$5,000	HELD OR ACQUIRED BY STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S	X FILER			
LESS THAN \$5,000	HELD OR ACQUIRED BY STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION X LOTS	NUME 1.00000 lots	STREET ADDRESS, INCLU	DING CITY, COUNTY, AND	STATE
	HELD OR ACQUIRED BY STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION X LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)	NUME 1.00000 lots	STREET ADDRESS, INCLU	DING CITY, COUNTY, AND	STATE

INTERESTS IN REAL PROPERTY

PART 7A

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Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	over Sneet.
1 HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
2 STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
3 DESCRIPTION X LOTS ACRES	NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots WEBB COUNTY
4 NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)	
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
HELD OR ACQUIRED BY STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	X FILER SPOUSE DEPENDENT CHILD STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE
STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S	
STREET ADDRESS X NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION X LOTS	STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 1.00000 lots

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	when reporting information about which the child is listed on the Co	t a dependent child's a over Sheet.	ctivity, indicate the child about	whom you are reporting by providing the number under
1	HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD
2	STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	3904 PACK SAD	DLE DRIVE	JDING CITY, COUNTY, AND STATE
3	DESCRIPTION X LOTS ACRES	NUN 1.00000 lots LLANO	IBER OF LOTS OR ACRES A	ND NAME OF COUNTY WHERE LOCATED
	NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)			
5	IF SOLD NET GAIN NET LOSS	LESS THAN \$	5,000 \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE

INTEREST IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD 2 DESCRIPTION NAME AND ADDRESS X (Check if Filer's Home Address) DIRECT CONTACTS INC	
X (Check if Filer's Home Address)	
1500 Dillman St.	
Austin, TX 78703	
	\$25,000OR MORE
HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD	
DESCRIPTION NAME AND ADDRESS	
X (Check if Filer's Home Address) QUARRY LLC	
1500 Dillman St.	
Austin, TX 78703	
IF SOLD	\$25,000OR MORE
HELD OF ACCURED BY	
HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD	
HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address)	
DESCRIPTION X FILER SPOUSE DEPENDENT CHILD	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE	\$25,000OR MORE
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN NET LOSS DEPENDENT CHILD	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS DEPENDENT CHILD NAME AND ADDRESS	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) SPOUSE NET GAIN NET LOSS DEPENDENT CHILD DEPENDENT CHILD	
DESCRIPTION X FILER	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD \$5,000 - \$9,999 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$10,000 - \$24,990 \$1	
DESCRIPTION NAME AND ADDRESS (Check if Filer's Home Address) CRADDICK PARTNERS LTD 2 LAKES DRIVE MIDLAND, TX 79705 IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD SPOUSE DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) NAME AND ADDRESS (Check if Filer's Home Address) PSW URBAN HOMES LP	

INTEREST IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	When reporting information about which the child is listed on the C	ut a dependent child's acti over Sheet.	vity, indicate the child about	whom you are reporting by p	providing the number under
1	HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
2	DESCRIPTION	CL CRADDICK LLe 1500 Dillman St. Austin, TX 78703	X (Check	AND ADDRESS if Filer's Home Address)	
3	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,0	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
	DESCRIPTION	CRADDICK LAKE 1500 Dillman St. Austin, TX 78703	_	AND ADDRESS if Filer's Home Address)	
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,0	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

TRUST INCOME PART 9 If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. Identify each source of income received by you, your spouse, or a dependent child as beneficiary of a trust and indicate the category of the amount of income received. Also identify each asset of the trust from which the beneficiary received more than \$500 in income, if the identity of the asset is known. For more information, see FORM PFS--INSTRUCTION GUIDE When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. SOURCE NAME OF TRUST CRADDICK FAMILY TRUST 2 BENEFICIARY X FILER SPOUSE DEPENDENT CHILD _____ 3 INCOME X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE ASSETS FROM WHICH **OVER \$500 WAS RECEIVED** X UNKNOWN NAME OF TRUST **SOURCE** CHRISTI LEIGH CRADDICK 2012 GST TRUST **BENEFICIARY** X FILER SPOUSE DEPENDENT CHILD ___ INCOME X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE ASSETS FROM WHICH OVER \$500 WAS RECEIVED X UNKNOWN

BOARDS AND EXECUTIVE POSITIONS

PART 12

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

the child is listed on the C	on about a dependent child's a Cover Sheet.	activity, indicate the child abo	out whom you are reporting by providing t	ne number under wnich
1 ORGANIZATION	HILL COUNTRY M	ILL JOHNSON CITY, TX		
2 POSITION HELD	BOARD MEMBER			
3 POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	

PERSONAL FINANCIAL STATEMENT

PARTS MARKED "NOT APPLICABLE" BY FILER

FORM PFS
COVER SHEET
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

6 PAF	RTS NOT APPLICABLE TO FILER
	N/A Part 1A - Sources of Occupational Income
X	N/A Part 1B - Retainers
	N/A Part 2 - Stock
	N/A Part 3 - Bonds, Notes & Other Commercial Paper
	N/A Part 4 - Mutual Funds
	N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
X	N/A Part 6 - Personal Notes and Lease Agreements
	N/A Part 7A - Interests in Real Property
	N/A Part 7B - Interests in Business Entities
X	N/A Part 8 - Gifts
	N/A Part 9 - Trust Income
X	N/A Part 10A - Blind Trusts
X	N/A Part 10B - Trustee Statement
X	N/A Part 11A - Assets of Business Associations
X	N/A Part 11B - Liabilities of Business Associations
	N/A Part 12 - Boards and Executive Positions
X	N/A Part 13 - Expenses Accepted Under Honorarium Exception
X	N/A Part 14 - Interest in Business in Common with Lobbyist
X	N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
X	N/A Part 16 - Representation by Legislator Before State Agency
X	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
X	N/A Part 18 - Legislative Continuances

PERSONAL FINANCIAL STATE	MENT AFFIDAVIT
The law requires the personal financial statement to be ver	ified. Without proper verification, the statement is not considered filed.
The verification page on a personal statement filed electron ndividual required to file the personal financial statement.	nically with the Texas Ethics Commission must have the electronic signature of the
The verification page on a personal financial statement filed of the individual required to file the personal financial stater person authorized by law to administer oaths and affirmation	d with an authority other than the Texas Ethics Commission must have the signatunent as wells as the signature and stamp or seal of office of a notary public or oth ons.
	I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2015, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.
	The Honorable Christi L. Craddick
	Signature of Filer
AFFIX NOTARY STAMP / SEAL ABOVE	
	, this the day
of, 20, to certify which,	muness my nand and seal of office.
Signature of officer administering oath Printed	d name of officer administering oath Title of officer administering oath
Signature of officer autilitistering path Pfillet	The of officer authinistering batti