FORM PFS PERSONAL FINANCIAL STATEMENT **COVER SHEET** PAGE 1 PAGE# Filed in accordance with chapter 572 of the Government Code. 21 For filings required in 2016, covering calendar year ending December 31, 2015. ACCOUNT # Use FORM PFS--INSTRUCTION GUIDE when completing this form. 00024733 1 NAME TITLE; FIRST; MI **OFFICE USE ONLY** The Honorable James R. **ELECTRONICALLY FILED** NICKNAME; LAST; SUFFIX 05/05/2016 Murphy 2 ADDRESS ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP Receipt # 1418 W Brooklake Dr HD / PM Amount Houston, TX 77077 Date Processed X (CHECK IF FILER'S HOME ADDRESS) **TELEPHONE** AREA CODE PHONE NUMBER; EXTENSION Date Imaged NUMBER (713) 875-3660 REASON FOR FILIING STATEMENT CANDIDATE _____ (INDICATE OFFICE) ELECTED OFFICER __State Representative HD 133 (INDICATE OFFICE) APPOINTED OFFICER ______ (INDICATE AGENCY) EXECUTIVE HEAD _____ (INDICATE AGENCY) FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT STATE PARTY CHAIR ______ (INDICATE PARTY) OTHER _____ (INDICATE POSITION) **5** Family members whose financial activity you are reporting (see instructions). Kathleen Pace Murphy SPOUSE 1. Robert K Murphy DEPENDENT CHILD 2. James Pace Murphy In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. 1 INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD __ 2 EMPLOYMENT NAME AND ADDRESS OF EMPLOYER / POSITION HELD EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** ADDRESS / PO BOX: APT / SUITE #: CITY: STATE: ZIP CODE POSITION HELD NATURE OF OCCUPATION X SELF-EMPLOYED Real Estate Consultant through District Management Services LLC INFORMATION RELATES TO ☐ FILER X SPOUSE DEPENDENT CHILD _ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** University of Houston Health Science Center ADDRESS / PO BOX; APT / SUITE #; ZIP CODE CITY; STATE; 7000 Fannin St #1200 Houston, TX 77030 POSITION HELD **Assistant Professor** NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO FILER SPOUSE X DEPENDENT CHILD 1 **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check if Filer's Home Address) X EMPLOYED BY ANOTHER **EMPLOYER** Solvay Chemicals Inc ADDRESS / PO BOX; ZIP CODE APT / SUITE #; CITY; STATE; 3333 Richmond Ave Houston, TX 77098 POSITION HELD Customer Service Representative

SELF-EMPLOYED

NATURE OF OCCUPATION

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		NAME	
American Funds VCSF		V WL	
FILER	X SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
American Funds VCSF		NAME	
FILER	X SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		NAME	
Virtus - VRTS Strategi	c Growth Fund A		
X FILER	X SPOUSE	DEPENDENT CHILD	·
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
Opportuiner Clotal F		NAME	
Oppennenner Global F	-unu A		
X FILER	X SPOUSE	DEPENDENT CHILE)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	П 10 000 ОВ МОВЕ		
5,000 to 9,999	10,000 OR MORE		
	☐ FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999 ☐ LESS THAN \$5,000 American Funds VCSF ☐ FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999 ☐ LESS THAN \$5,000 Virtus - VRTS Strategi ☐ X FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999 ☐ LESS THAN \$5,000 ☐ Oppenheimer Global FILER ☐ LESS THAN \$5,000	American Funds VCSP College America FILER	FILER

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		NAME	
Oppenheimer Main St		VAIVIL	
X FILER	X SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BlackRock Equity Divi			
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		NAME	
Guggenheim Mid Cap	Value Fund Class A		
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
5,000 to 9,999	10,000 OR MORE		
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
iSharas Russall 1000 i		NAME	
ISHAICS RUSSEII 1000	Clowii ilidex (E11)		
X FILER	SPOUSE	DEPENDENT CHILD)
LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 BlackRock Equity Divid X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 X FILER LESS THAN \$5,000 LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 LESS	Oppenheimer Main Street Fund A X FILER	LESS THAN 100

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1	MUTUAL FUND	Oakmark Fund Class I		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	SPDR S&P Dividend (NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	T. Rowe Price New Ho		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	T. Rowe Price New Ho		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		orizons Fund		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	rizons Fund SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	rizons Fund SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Oppenheimer Internation	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 onal Growth Fund Clas	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME S Y	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Oppenheimer Internati X FILER LESS THAN 100	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 onal Growth Fund Clas SPOUSE 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME S Y DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Tocqueville Internation		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	Virtus Emerging Marke		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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E		<u> </u>			
	MUTUAL FUND	Dodge & Cox Income		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Dodge & Cox Income		NAME DEPENDENT CHILE)
	SHARES OF MUTUAL FUND	_	Fund		X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	Fund SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	Fund SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	Fund SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Fidelity Advisor® Shor	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME Class	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Fidelity Advisor® Shor X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 t Fixed-Income Fund I (DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME Class DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	Franklin Floating Rate	Naily Access Fund Cla	NAME ss Advisor	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Goldman Sachs Strate	egic Income Fund Institu	NAME utional Shares	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	Harbor High-Yield Bon	۱ d Fund Institutional Cla	NAME SS	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Harbor High-Yield Bon)
	SHARES OF MUTUAL FUND		d Fund Institutional Cla	ss	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	d Fund Institutional Cla	DEPENDENT CHILD	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN	X FILER	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 TCW Total Return Bor	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 TCW Total Return Bor X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 od Fund Class I SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	Vanguard Short-Term	۱ Bond Index Fund Admi	NAME ral Shares	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	American Funds Wasł	nington Mutual Investors	NAME s Fund Class 529-A	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	X DEPENDENT CHILD	2
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	X 500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	American Funds Wasł	nington Mutual Investors	NAME s Fund Class 529-A	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	American Funds Wash) 2
	SHARES OF MUTUAL FUND		nington Mutual Investors	s Fund Class 529-A) <u>2</u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	FILER LESS THAN 100	X SPOUSE	S Fund Class 529-A X DEPENDENT CHILE	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999	X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	☐ FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999 ☐ LESS THAN \$5,000	X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 to 9,999 ☐ LESS THAN \$5,000	X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 American Funds Wash	ington Mutual Investors X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	X DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME S Fund Class A	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 American Funds Wash FILER LESS THAN 100	ington Mutual Investors X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 inington Mutual Investors X SPOUSE X 100 TO 499	X DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME S Fund Class A DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	MUTUAL FUND	Prud	dential Annuities A	N Divanced Strategies Por	NAME tfolio	
2	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY	<u> </u>	FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAI	1 1 1	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Prud	dential Annuities C	۱ apital Growth Asset Allo	NAME ocation	
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY		FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND		LESS THAN 100 5,000 to 9,999	100 TO 499 X 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GA	1 1 1	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			Λ	LANE	
	MUTUAL FUND	Prud	dential Annuities G		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUN HELD OR ACQUIRED BY	<u> </u>	dential Annuities G		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUN) X		rowth Allocation		1,000 TO 4,999
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY NUMBER OF SHARES OF		FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAR		FILER LESS THAN 100 5,000 to 9,999	rowth Allocation SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAR MUTUAL FUND	N Prud	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	rowth Allocation SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAL	X X X X X X X X X X	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	rowth Allocation SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUN HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAR MUTUAL FUND SHARES OF MUTUAL FUND	Prud	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 dential Annuities A	rowth Allocation SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME tfolio	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUNHELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAR NET LOS MUTUAL FUND SHARES OF MUTUAL FUNHELD OR ACQUIRED BY NUMBER OF SHARES OF	Prud	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 dential Annuities A FILER LESS THAN 100	rowth Allocation SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 dvanced Strategies Por X SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME tfolio DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Prudential Annuities C	n apital Growth Asset Allo	NAME ocation	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 X 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Prudential Annuities G		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 X 5,000 to 9,999	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
E					
	MUTUAL FUND	Prudential group Adva	nced Strategies Portfoli	NAME O	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Prudential group Adva)
	SHARES OF MUTUAL FUND		nced Strategies Portfoli	0	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	FILER LESS THAN 100	X SPOUSE	DEPENDENT CHILD	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	FILER LESS THAN 100 5,000 to 9,999	nced Strategies Portfoli X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	nced Strategies Portfoli X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	nced Strategies Portfoli X SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Prudential Group Capi	nced Strategies Portfoli SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME tion Portfolio	X 1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Prudential Group Capi FILER LESS THAN 100	x SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 tal Growth Asset Alloca X SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME tion Portfolio DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Prudential Investment		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
⊨					
	MUTUAL FUND	Prudential Growth Allo		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND			NAME.	
	MUTUAL FUND	Oppenheimer Main Sti		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Oppenheimer Main Sti		NAME DEPENDENT CHILD)
	SHARES OF MUTUAL FUND		reet Fund Class A		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	x SPOUSE X 100 TO 499	DEPENDENT CHILD	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	x SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999	x SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	x SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Virtus Strategic Growth	x SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
= - - -	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Virtus Strategic Growtl X FILER LESS THAN 100	X SPOUSE X 100 TO 499 10,000 OR MORE 55,000 - \$9,999 10 Fund Class A X SPOUSE 100 TO 499 100 TO 490 100 TO 490	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Phoenix Fidelity Contr		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	5,000 to 9,999	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Phoenix Vanguard Gro		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	Phoenix Vanguard Vir		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Phoenix Vanguard Viri		NAME DEPENDENT CHILE)
	SHARES OF MUTUAL FUND		tus Real Estate		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Phoenix Vanguard Wa	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER X LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Phoenix Vanguard Wax X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 Inger USA SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Phoenix Vanguard Vir		NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
\vdash		<u> </u>			
	MUTUAL FUND	Phoenix Vanguard Fed		NAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
l		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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E	<u></u>				
	MUTUAL FUND	Phoenix Vanguard De		NAME	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Phoenix Vanguard De		NAME DEPENDENT CHILE)
	SHARES OF MUTUAL FUND	X FILER LESS THAN 100	SPOUSE X 100 TO 499) 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER	benture SPOUSE	DEPENDENT CHILD	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILD	<u></u>
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	benture SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999	benture SPOUSE X 100 TO 499 D 10,000 OR MORE S5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	benture SPOUSE X 100 TO 499 D 10,000 OR MORE S5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Phoenix Vanguard Sm X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 10 SPOUSE X 100 TO 499 100 TO 490 100 TO	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Phoenix Vanguard Sm X FILER	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 all Cap Value SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Phoenix Vanguard Sm X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 10 SPOUSE X 100 TO 499 100 TO 490 100 TO	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Prudential Annuities Ir	ا ovestment Grade Bonds	NAME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	MUTUAL FUND	Prudential Annuities A	ا dvanced Strategies Por	NAME tfolio	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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	MUTUAL FUND	Prudential Annuities C	t apital Growth Allocation	NAME n Portfolio	
	MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Prudential Annuities C)
	SHARES OF MUTUAL FUND		apital Growth Allocation	n Portfolio) 1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	apital Growth Allocation SPOUSE X 100 TO 499	DEPENDENT CHILE	_
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE SPOUSE	n Portfolio ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999
_	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SP	DEPENDENT CHILE	1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000	SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SPOUSE SP	n Portfolio ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Prudential Annuities G	apital Growth Allocation SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	☐ PORTION ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF MUTUAL FUND IF SOLD NET GAIN NET LOSS MUTUAL FUND SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100 5,000 to 9,999 LESS THAN \$5,000 Prudential Annuities G X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

MUTUAL FUNDS

PART 4

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE.

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1	MUTUAL FUND	Prudential Annuities A	N dvanced Strategies Por	JAME tfolio	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	MUTUAL FUND		Λ	JAME	
		Prudential Capital Gro	wth Asset Allocation Po		
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
l		5,000 to 9,999	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_					
	MUTUAL FUND		N		
	MUTUAL FUND	Prudential Annuities G		IAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	Prudential Annuities G		DEPENDENT CHILE)
	SHARES OF MUTUAL FUND		rowth Allocation		1,000 TO 4,999
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY NUMBER OF SHARES OF	X FILER LESS THAN 100	SPOUSE X 100 TO 499	DEPENDENT CHILE	

PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under

which the child is listed on the Co	over Sheet.	,	,
1 PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Comerica Bank		
2 LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILD
3 GUARANTOR			
4 AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	Capital Farm Credit		
LIABILITY OF	X FILER	X SPOUSE	DEPENDENT CHILD
GUARANTOR			
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X \$25,000OR MORE

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

HELD OR ACQUIRED BY					
NOT AVAILABLE 1418 West Brooklake Dr. Houston, TX 77077	1 HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILE	D
LOTS LOONO lots Harris	NOT AVAILABLE X CHECK IF FILER'S		ake Dr.	UDING CITY, COUNTY, AND	STATE
RETAINING AN INTEREST NOTA APPLICABLE (SEVERED MINERAL INTEREST)	X LOTS	1.00000 lots	EER OF LOTS OR ACRES A	ND NAME OF COUNTY WHE	ERE LOCATED
LESS THAN \$5,000	RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL	Comerica Bank			
STREET ADDRESS STREET ADDRESS, INCLUDING CITY, COUNTY, AND STATE 4777 RR 1320 CHECK IF FILER'S HOME ADDRESS Johnson City, TX 78636 DESCRIPTION NUMBER OF LOTS OR ACRES AND NAME OF COUNTY WHERE LOCATED 63.00000 acres Blanco NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST) IF SOLD NET GAIN NET GAIN	LINET GAIN	LESS THAN \$5,0	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
NOT AVAILABLE CHECK IF FILER'S Johnson City, TX 78636					
LOTS 63.00000 acres X ACRES Blanco NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST) IF SOLD NET GAIN DILESS THAN \$5,000 \$5,000 - \$9,999 DI\$10,000 - \$24,999 DI\$25,000 - OR MORE	HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHILE	D
RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST) IF SOLD NET GAIN D LESS THAN \$5,000 D \$5,000 - \$9,999 D \$10,000 - \$24,999 D \$25,000-OR MORE	STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S	4777 RR 1320	STREET ADDRESS, INCLU		
LESS THAN \$5,000	STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION LOTS	Johnson City, TX 7 NUMB 63.00000 acres	STREET ADDRESS, INCLU	UDING CITY, COUNTY, AND	STATE
	STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION LOTS X ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL	Johnson City, TX 7 NUMB 63.00000 acres	STREET ADDRESS, INCLU	UDING CITY, COUNTY, AND	STATE

INTEREST IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE.					
	When reporting information about which the child is listed on the Co	nt a dependent child's activity, over Sheet.	indicate the child about	whom you are reporting by p	roviding the number under	
1	HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D	
2	DESCRIPTION	District Management S 1418 West Brooklake	X (Check Services LLC	AND ADDRESS if Filer's Home Address)		
		Houston, TX 77077				
3	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
ĺ						

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

	the child is listed on the Cover S	Sheet.			
1	BUSINESS			AND ADDRESS	
	ASSOCIATION		X (Check If F	Filer's Home Address)	
		District Management Se	ervices LLC		
		1418 West Brooklake D	r.		
		Houston, TX 77077			
2	BUSINESS TYPE				
		Management			
3	HELD, ACQUIRED,				
	OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
4	ASSETS	DESC	RIPTION	CATE	GORY
		Office Equipment		X LESS THAN \$5,000	\$5,000 - \$9,999
				ı 	—
				\$10,000 - \$24,999	\$25,000 OR MORE
		I			

PERSONAL FINANCIAL STATEMENT

PARTS MARKED "NOT APPLICABLE" BY FILER

FORM PFS
COVER SHEET
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

PAF	RTS NOT APPLICABLE TO FILER
	N/A Part 1A - Sources of Occupational Income
X	N/A Part 1B - Retainers
X	N/A Part 2 - Stock
X	N/A Part 3 - Bonds, Notes & Other Commercial Paper
	N/A Part 4 - Mutual Funds
X	N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
	N/A Part 6 - Personal Notes and Lease Agreements
	N/A Part 7A - Interests in Real Property
	N/A Part 7B - Interests in Business Entities
X	N/A Part 8 - Gifts
X	N/A Part 9 - Trust Income
X	N/A Part 10A - Blind Trusts
X	N/A Part 10B - Trustee Statement
	N/A Part 11A - Assets of Business Associations
X	N/A Part 11B - Liabilities of Business Associations
X	N/A Part 12 - Boards and Executive Positions
X	N/A Part 13 - Expenses Accepted Under Honorarium Exception
X	N/A Part 14 - Interest in Business in Common with Lobbyist
X	N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
X	N/A Part 16 - Representation by Legislator Before State Agency
X	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
X	N/A Part 18 - Legislative Continuances

PERSONAL FINANCIAL STATEN	IENT AFFIDAVIT		
The law requires the personal financial statement to be verifi	ied. Without proper verification, the stat	ement is not considered fil	ed.
The verification page on a personal statement filed electronic ndividual required to file the personal financial statement.	cally with the Texas Ethics Commission	must have the electronic	signature of the
The verification page on a personal financial statement filed of the individual required to file the personal financial statem berson authorized by law to administer oaths and affirmation	ent as wells as the signature and stamp	Ethics Commission must h	ave the signature ry public or other
	I swear, or affirm, under penalty covers calendar year ending Decand includes all information requ 572 of the Government Code.	cember 31, 2015 , and is tr	ue and correct
	The Honora	uble James R. Murphy	
	Sig	nature of Filer	
AFFIX NOTARY STAMP / SEAL ABOVE			
Sworn to and subscribed before me, by the said		, this the	day
of, 20, to certify which, w			
Signature of officer administering oath Printed	name of officer administering oath	Title of officer adn	ninistering oath
Signature of officer administering oath Printed	name of officer administering oath	Title of officer adn	ninistering (