



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. K. Michael Conaway  
**Status:** Member  
**State/District:** TX11

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2015  
**Filing Date:** 08/11/2016

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Conabain Corporation <small>LOCATION: Midland, TX, US DESCRIPTION: Royalty income</small>		\$1 - \$1,000	Royalty	\$1 - \$200	<input type="checkbox"/>
Conawell Corporation <small>LOCATION: Midland, TX, US DESCRIPTION: Passive Investment entity</small>	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Farm Land 2/3 undivided interest in 89 acres <small>LOCATION: Eastland, TX, US DESCRIPTION: 2/3 undivided interest in 89 acres</small>	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
HKN, Inc. (HKNI)		\$1 - \$1,000	None		<input type="checkbox"/>
Insurance Policies ⇒ American General 48		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Life 07		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Insurance Policies ⇒ Northwestern Mutual 17		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 38		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 73		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 75		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 96		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ FIA Card Svcs RASP		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Invesco American Constellation Fd		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JT Mo6 (Merrill Lynch) ⇒ Valley National Bancorp (VLY)		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT M39 (Merrill Lynch) ⇒ ML Bank Deposit Program	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
JT W58 (Wells Fargo) ⇒ Bank Deposit Sweep	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JT W58 (Wells Fargo) ⇒ Clayton Williams Energy, Inc. (CWEI)	JT	\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
JT W91 (Wells Fargo) ⇒ Bank Deposit Sweep	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
JT W91 (Wells Fargo) ⇒ Eaton Vance Balanced Fund CL A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JT W91 (Wells Fargo) ⇒ Putnam Global Health Care FD CL A	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Kidwell Exploration	SP	\$15,001 - \$50,000	Royalty	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Royalty					
KMC Inc		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Midland, TX, US DESCRIPTION: Dividends from investments					
Met Life Insurance	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
Prudential SSA	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Structured Settlement					
SP E48 (Edward Jones) ⇒ Capital World Bond Fund	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Dreyfus Bond Market Index Fund	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Edward Jones Money Market Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total U.S. Bond Market ETF (AGG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Small-Cap ETF (SCZ)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Growth ETF (IWF)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Mid-cap Value ETF (IWS)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares S&P 500 Growth ETF (IVW)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares TR MSCI EAFE Value FD	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Legg Mason Gbl Asset Mgmt Bond Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ SPDR Dow Jones Industrial Average ETF (DIA)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ SPDR S&P Dividend ETF (SDY)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM)	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF (BND)	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ **AMERICAN FDS MONEY MKT FD: CL A: 12/08/15 AT \$1.00/SHARE	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Amcap Fund CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP E62 (Edward Jones) ⇒ American Airlines Group, Inc. (AAL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Balanced Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Global Balanced Fund CL A	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American International Growth & Income Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Mutual Fund CL A	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ AT&T Inc. (T)	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Bank of America Corporation (BAC)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	None	Dividends	None	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Campbell Soup Company (CPB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital Income Builders Fund CL A	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital World Growth & Income Fund CL A	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Coca-Cola Company (KO)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Columbia Tax Exempt Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Deere & Company (DE)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP E62 (Edward Jones) ⇒ Edward Jones Money Market	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Europacific Growth Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Absolute Return Fund CL A	SP	None	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Equity Income Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Kaufmann Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Founding Fund Allocation CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery FD	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Fund	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Fundamental Investors Fund CL A	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Growth Fund of America CL A	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Dividend & Growth Fund	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒	SP	\$50,001 -	Capital Gains,	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Investment Company of America Fund CL A		\$100,000	Dividends	\$15,000	
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New World Fund CL A	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fund CL A	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund CL A	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Templeton Growth Fund CL A	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPEC	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED:	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED: AS OF 11/23/15					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED:	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID: PORT SER 33 MONTHLY: REINVESTMNT: FINAL PRINCIPAL	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PAYMENT: ON 10864					
DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID: PORT SER 33 MONTHLY: REINVESTMNT: FINAL PRINCIPAL PAYMENT: ON 10864 UNITS Redemption					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST INTL CAP: STRENGTH PORT SER 18 SEMI: ANNUAL REINVEST: CASH DIV ON 473: AT \$.097	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST INTL CAP: STRENGTH PORT SER 18 SEMI: ANNUAL REINVEST: CASH DIV ON 473: AT \$.0974 PER UNIT					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: CASH IN LIEU OF	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST MKT STRENGTH: ALLOCATION SELECT SER 13: PORT SEMI ANNUAL REINVEST: CASH IN LIEU OF .07826: SHARES AT \$10.0535 PER UNIT					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 21 CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: FINAL PRINCIPAL PAYMENT: ON 13	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST: SER 21 CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: FINAL PRINCIPAL PAYMENT: ON 13146 UNITS					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCL	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT VAN KAMPEN UNIT 967 S&P: DIVID GRWTH TRUST SER #20: OPPRTNITIES QUARTERLY REINVST: FINAL PRINCIP	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT VAN KAMPEN UNIT 967 S&P: DIVID GRWTH TRUST SER #20: OPPRTNITIES QUARTERLY REINVST: FINAL PRINCIPAL PAYMENT: ON 3027 UNITS Redemption					
SP E62 (Edward Jones) ⇒ Unit Trust First Trust Diversified Equity Series # 1Q13	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Unit Trust					
SP E62 (Edward Jones) ⇒	SP	\$100,001 -	Capital Gains,	\$5,001 -	<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Washington Mutual Investors Fund CL A		\$250,000	Dividends	\$15,000	
SP E62 (Edward Jones) ⇒ Wimberly Texas Ind Sch Dist 0.00% 08/15/38	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Municipal Bond					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Eagle Growth & Income Fd	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ Ivy Asset Strategy Fd	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ JP Morgan US Equity Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER RBC PART 145.50% S...					
SP M37 (Merrill Lynch) ⇒ Bank of America NA RASP	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Eagle Growth & Income Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Ivy Asset Strategy Fd	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒	SP	\$15,001 - \$50,000	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan US Equity Fd			Dividends	\$2,500	
SP M37 (Merrill Lynch) ⇒ Mainstay Marketfield Fd	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ Principal Global Diversified Income Fd	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER RBC PART 145.50% S...					
SP M40 (Merrill Lynch) ⇒ Bank of America	SP	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Dallas Fort Worth Tex 05.000 11 01 43	SP	None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD	SP	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ DJIA LIRN Issuer RBC PART 111.30%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: DJIA LIRN Issuer RBC PART 111.30%					
SP M40 (Merrill Lynch) ⇒ Eagle Growth and Income FD	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Endologix, Inc. (ELGX)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ First Eagle Global FD	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Ft Unit 5221 Sabrient Bakers Dozen Port 20					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ Ft Unit 5463 Sabrient Forward Looking DESCRIPTION: Ft Unit 5463 Sabrient Forward Looking	SP	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ft Unit 56011 Sabrient Bakers Dozen5 DESCRIPTION: Ft Unit 56011 Sabrient Bakers Dozen5	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Hartford Growth Opportunities FD	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ INDU BUF CAP PART NTS CAP 66.45% SV.. DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV..	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Insurance Contract - JAX Nst'l Perspect DESCRIPTION: Additional contribution	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Ivy Asset Strategy FD	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ JP Morgan US Equity Fd	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Lord Abbett Short Duration Income FD	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Mainstay Marketfird FD	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Marble Falls Tex CTFS 05.000% 02 01 30	SP	None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ ML Bank Deposit Program	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ North Tex Twy Auth 05/5000% 09 01 41	SP	None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Nuveen Large Cap Core Fd	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Prudential Short Term Corporate Bond FD	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M40 (Merrill Lynch) ⇒ R2000 C LIRN Issuer CAP 14.10% DESCRIPTION: R2000 C LIRN Issuer CAP 14.10%	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ R2000 Stepup Issurer 25.00 DESCRIPTION: R2000 Stepup Issurer 25.00	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 ARN Issuer Bac Cap 11.16% DESCRIPTION: SP500 ARN Issuer Bac Cap 11.16%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 12.02% DESCRIPTION: SP500 C LIRN Issuer bac 12.02%	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 14.54% DESCRIPTION: SP500 C LIRN Issuer bac 14.54%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ SP500 Suns Issurer 16.30% DESCRIPTION: SP500 Suns Issurer 16.30%	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Sunamerica Focused Dividend Fd	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AbbVie Inc. (ABBV)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Actavis plc Ordinary Shares (ACT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Adobe Systems Incorporated (ADBE)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AEGON N.V. (AEG) DESCRIPTION: Several purchases of less than \$1,000	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Alcoa Inc. (AA)					
SP M81 (Merrill Lynch) ⇒ Alexion Pharmaceuticals, Inc. (ALXN)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Allergan plc Ordinary Shares (AGN)	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alliance Data Systems Corporation (ADS)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Allstate Corporation (ALL)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN)	SP	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AMC Networks Inc. - Class A (AMCX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amdocs Limited - Ordinary Shares (DOX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ America Movil, S.A.B. de C.V. American Depositary Receipt Series L (AMX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ American Electric Power Company, Inc. (AEP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ameriprise Financial, Inc. (AMP)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AMETEK, Inc. (AME)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amphenol Corporation (APH)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Anheuser-Busch Inbev SA Sponsored ADR (BUD)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP M81 (Merrill Lynch) ⇒ Anhui Conch Cement Un-sponsored ADR representing Shares -H- (AHCHY)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Aon plc Class A Ordinary Shares (AON)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Archer-Daniels-Midland Company (ADM)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Astrazeneca PLC (AZN)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AT&T Inc. (T)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ athenahealth, Inc. (ATHN)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Atmel Corporation (ATML)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Atos Origin SA Un-sponsored ADR (AEXAY)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Australia and New Zealand Banking Group Limited (ANZBY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AXA SA Sponsored ADR (AXAHY)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Axis Capital Holdings Limited (AXS)	SP	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BAE SYSTEMS plc Sponsored ADR (BAESY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Banco Bradesco Sa American Depositary Shares (BBD)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Deposit Program	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Rakyat Indonesia Un-sponsored ADR Rep Shs B (BKRKY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bank Yokohama Ltd Japan American Depositary Shares (BKJAY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Barclays PLC (BCS)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Barrick Gold Corporation (ABX)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxter International Inc. (BAX)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Beijing Enterprises Holdings Limited American Depositary Shares (BJINY)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Belle International Holdings Limited Limited Un-sponsored ADR (BELLY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Biogen Idec Inc. (BIIB)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bio-Techne Corp (TECH)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BlackRock, Inc. (BLK)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ BOC Hong Kong (BHKLY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Boeing Company (BA)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Boston Scientific Corporation (BSX)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Bristol-Myers Squibb Company (BMY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadcom Limited - Ordinary Shares (AVGO)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Broadridge Financial Solutions, Inc. (BR)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ C.H. Robinson Worldwide, Inc. (CHRW)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CA Inc. (CA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cadence Design Systems, Inc. (CDNS)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Canadian Pacific Railway Limited (CP)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cap Gemini SA American Depositary Shares (CGEMY)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cardinal Health, Inc. (CAH)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Carter's, Inc. (CRI)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CBS Corporation Class B (CBS)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Celanese Corporation Celanese Corporation Series A (CE)					
SP M81 (Merrill Lynch) ⇒ Celgene Corporation (CELG)	SP	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Centene Corporation (CNC)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Centrica plc Sponsored American Depository Shares (CPYYY)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cerner Corporation (CERN)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CF Industries Holdings, Inc. (CF)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Chevron Corporation (CVX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Construction Bank Corporation Un-sponsored ADR representing H shares (CICHY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Mobile Limited (CHL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ China Petroleum & Chemical Corporation (SNP)	SP	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cimpress N.V - Ordinary Shares (CMPR)	SP	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CIT Group Inc (CIT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Citigroup, Inc. (C)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Citrix Systems, Inc. (CTXS)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Coca-Cola Company (KO)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Comcast Corporation - Class A (CMCSA)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Companhia de saneamento Basico Do Estado De Sao Paulo - Sabesp American Depositary Shares (SBS)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Companhia Paranaense de Energia (ELP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConAgra Foods, Inc. (CAG)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConocoPhillips (COP)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CoStar Group, Inc. (CSGP)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Costco Wholesale Corporation (COST)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Crown Castle International Corporation (CCI)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Caremark Corporation (CVS)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Danaher Corporation (DHR)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Delphi Automotive plc Ordinary Shares (DLPH)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Deutsche Boerse Ag Un-sponsored ADR (DBOXY)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Deutsche Lufthansa A G Sponsored ADR (DLAKY)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Diageo plc (DEO)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ DNB ASA SPONSORED ADR Representing 10 (DNHBY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dollar General Corporation (DG)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dresser-Rand Group Inc. (DRC)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dunkin' Brands Group, Inc. (DNKN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Eaton Corporation, PLC Ordinary Shares (ETN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Enscopl Class A Ordinary Shares (ESV)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Estee Lauder Companies, Inc. (EL)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Expeditors International of Washington, Inc. (EXPD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Express Scripts Holding Company (ESRX)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Facebook, Inc. - Class A (FB)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Family Dollar Stores, Inc. (FDO)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Federated Investors, Inc. (FII)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ FedEx Corporation (FDX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fidelity National Information Services, Inc. (FIS)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fifth Third Bancorp (FITB)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Flextronics International Ltd. - Ordinary Shares (FLEX)	SP	\$1 - \$1,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ford Motor Company (F)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Fortinet, Inc. (FTNT)	SP	\$1 - \$1,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gartner, Inc. (IT)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Gildan Activewear, Inc. Class A Sub. Vot. (GIL)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Gilead Sciences, Inc. (GILD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Goldcorp Inc. (GG)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Golden Agri-Resources Limited Unsponsored ADR (GARPY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Google Inc. - Class C Capital Stock (GOOG)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Hanesbrands Inc. (HBI)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Harris Corporation (HRS)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Hartford Financial Services Group, Inc. (HIG)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ HCA Holdings, Inc. (HCA)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Henry Schein, Inc. (HSIC)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Hitachi, Ltd. American Depository Shares (HTHIY)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Home Depot, Inc. (HD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Honeywell International Inc. (HON)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ HSBC Holdings, plc. (HSBC)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ICICI Bank Limited (IBN)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Illumina, Inc. (ILMN)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Imperial Tobacco Group plc Sponsored ADR (ITYBY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Incyte Corporation (INCY)	SP	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intel Corporation (INTC)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intercontinental Exchange Inc. (ICE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ International Paper Company (IP)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Interpublic Group of Companies, Inc. (IPG)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Intuit Inc. (INTU)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Israel Chemicals Limited Unsponsored ADR (ISCHY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Isuzu Motors Ltd Ord American Depositary Shares (ISUZY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Jack Henry & Associates, Inc. (JKHY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ KDDI Corporation Unsponsored ADR (KDDIY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Keppel Corp Ltd Spons ADR (KPELY)					
SP M81 (Merrill Lynch) ⇒ Kinder Morgan, Inc. (KMI)	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ KLA-Tencor Corporation (KLAC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Koc Holdings AS Un-sponsored ADR (KHOLY)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Komatsu Ltd Ord American Depository Shares (KMTUY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Korea Electric Power Corporation (KEP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lamar Advertising Company - Class A (LAMR)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Life Technologies Corporation (LIFE)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ LPL Financial Holdings Inc. (LPLA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Lukoil Co Sponsored ADR (LUKOY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Macy's Inc (M)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Magna International, Inc. (MGA)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Man Group PLC Un-sponsored ADR (MNGPY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Manulife Financial Corporation (MFC)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Marathon Oil Corporation (MRO)	SP	None	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Marks and Spencer Group plc American Depositary Shares (MAKSY)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Masimo Corporation (MASI)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mattel, Inc. (MAT)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mead Johnson Nutrition Company (MJN)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Medivation, Inc. (MDVN)	SP	\$1 - \$1,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Medtronic Inc. (MDT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Merck & Company, Inc. Common Stock (MRK)	SP	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MetLife, Inc. (MET)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mitsubishi Electric Corp ADR (MIELY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mitsui & Company, Ltd. American Depositary Shares (MITSY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mizuho Financial Group, Inc. Sponosred ADR (MFG)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
ML Bank Deposit Program					
SP M81 (Merrill Lynch) ⇒ Mobile TeleSystems OJSC (MBT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Molson Coors Brewing Company Class B (TAP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Motorola Solutions, Inc. (MSI)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MSC Industrial Direct Company, Inc. (MSM)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ MSCI Inc (MSCI)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ N S K Ltd Ord American Depository Shares (NPSKY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ NICE Ltd - American Depository Shares each representing one Ordinary Share (NICE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nike, Inc. (NKE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nitto Denko Corporation American Depository Shares (NDEKY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Nordea Bank AB Sponsored ADR (NRBAY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Norfolk Southern Corporation (NSC)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Omnicom Group Inc. (OMC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ON Semiconductor Corporation (ONNN)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Open Text Corporation - Common Shares (OTEX)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Oracle Corporation (ORCL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Orange (ORAN)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ O'Reilly Automotive, Inc. (ORLY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pepsico, Inc. (PEP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Perrigo Company plc Ordinary Shares (PRGO)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Persimmon Plc Unsponsored ADR (PSMMY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pharmacyclics, Inc. (PCYC)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ PNC Financial Services Group, Inc. (PNC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ POSCO (PKX)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Potash Corporation of Saskatchewan Inc. (POT)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ Precision Castparts Corporation (PCP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Public Service Enterprise Group Incorporated (PEG)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Quest Diagnostics Incorporated (DGX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ralph Lauren Corporation (RL)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Range Resources Corporation (RRC)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Regeneron Pharmaceuticals, Inc. (REGN)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ RenaissanceRe Holdings Ltd. (RNR)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Rexnord Corporation (RXN)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Reynolds American Inc (RAI)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Rio Tinto Plc (RIO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ritchie Bros. Auctioneers Incorporated (RBA)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Roper Industries, Inc. (ROP)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Depository Shares (RDS.A)					
SP M81 (Merrill Lynch) ⇒ Ryanair Holdings plc - American Depository Shares, each representing five Ordinary Shares (RYAAY)	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ryder System, Inc. (R)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sage Group Plc Un-sponsored ADR (SGPYY)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Salesforce.com Inc (CRM)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ SanDisk Corporation (SNDK)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sanofi American Depository Shares (SNY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sasol Ltd. American Depository Shares (SSL)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sberbank Russia Sponsored American Depository Receipt (SBRCY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Schlumberger N.V. (SLB)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sega Sammy Holdings Inc Sponsored ADR (SGAMY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sensata Technologies Holding N.V. Ordinary Shares (ST)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Serco Group plc UNSPONSORED ADR (SECCY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sherwin-Williams Company (SHW)	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Siemens AG American Depository Shares (SI)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ SLM Corporation (SLM)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Smurfit Kappa Group Plc American Depositary Shares (SMFKY)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Solera Holdings, Inc. Solera Holdings, Inc. (SLH)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Splunk Inc. (SPLK)	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ SS&C Technologies Holdings, Inc. (SSNC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Staples, Inc. (SPLS)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Starbucks Corporation (SBUX)	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Starwood Hotels & Resorts Worldwide, Inc. (HOT)	SP	None	None		<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Statoil ASA (STO)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sumitomo Chemical Co Ltd Unsponsored ADR (SOMMY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sumitomo Metal Mining Co Ltd Unsponsored ADR (SMMYY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Svenska Cellulosa B Shs American Depositary Shares (SVCBY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Synchrony Financial (SYF)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ T&D Holdings Inc Tokyo Unsponsored ADR (TDHOY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ T. Rowe Price Group, Inc. (TROW)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Target Corporation (TGT)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tata Motors Ltd Tata Motors Limited (TTM)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TD Ameritrade Holding Corporation (AMTD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Techne Corporation (TECH)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teleflex Incorporated (TFX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Telenor ASA American Depositary Shares (TELNY)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TeliaSonera AB Unsponsored ADR (TLSNY)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teradata Corporation (TDC)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tesco Plc Ord American Depositary Shares (TSCDY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tesla Motors, Inc. (TSLA)	SP	\$1 - \$1,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depositary Shares (TEVA)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ The Priceline Group Inc. (PCLN)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Travelers Companies, Inc. (TRV)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Thermo Fisher Scientific Inc (TMO)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Toronto Dominion Bank (TD)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Twenty-First Century Fox, Inc. - Class A (FOXA)	SP	None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ulta Salon, Cosmetics & Fragrance, Inc. (ULTA)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Under Armour, Inc. Class A (UA)	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Unilever NV (UN)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Overseas Bk Singapore American Depository Shares (UOVEY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
United Technologies Corporation (UTX)					
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated Common Stock (UNH)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ VALE S.A. American Depositary Shares Each Representing one common share (VALE)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Valero Energy Corporation (VLO)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Varian Medical Systems, Inc. (VAR)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verisk Analytics, Inc. - Class A (VRSK)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Vertex Pharmaceuticals Incorporated (VRTX)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Visa Inc. (V)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Vistaprint N.V. - Ordinary Shares (VPRT)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Volkswagen A G Ord American Depositary Shares (VLKPY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Waters Corporation (WAT)	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wells Fargo & Company (WFC)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ West Japan Railway Co Unsponsored ADR (WJRY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
SP M81 (Merrill Lynch) ⇒ WEX Inc. common stock (WEX)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wolverine World Wide, Inc. (WWW)	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Workday, Inc. (WDAY)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ World Fuel Services Corporation (INT)	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ WPP plc - American Depositary Shares each representing five Ordinary Shares (WPPGY)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Xerox Corporation (XRX)	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Xilinx, Inc. (XLNX)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Yamana Gold Inc. Ordinary Shares (AUY)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Youku Tudou Inc. American Depositary Shares, each representing 18 Class A ordinary shares. (YOKU)	SP	None	None		<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Zurich Insurance Group Limited Sponsored American Depositary Shares (ZURVY)	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SV Investments I, LP	SP	\$15,001 - \$50,000	Passive investment	None	<input type="checkbox"/>
DESCRIPTION: Passive Investment entity Value decrease as a result of distribution					
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Davis NY Venture	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Eagle Growth and Income FD	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Trust M69 (Merrill Lynch) ⇒ First Eagle Global FD	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ FT Unit 5601 Sabrient Bakers Dozen	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: FT Unit 5601 Sabrient Bakers Dozen					
Trust M69 (Merrill Lynch) ⇒ Hartford Growth Oppertunities	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Ivy Asset Strategy Fd	SP	None	None		<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ JP Morgan Equity FD	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ ML Bank Deposit Program	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Nuveen Large Cap Core Fd	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 Stepup Issuer STEP 25.00%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: R2000 Stepup Issuer STEP 25.00%					
Trust M69 (Merrill Lynch) ⇒ SP500 C LIRN Issuer BARC Cap 12.16%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 C LIRN Issuer BARC Cap 12.16%					
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRN Issuer BNS CAP 16.40%	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: SP500 CLIRN Issuer BNS CAP 16.40%					
Trust M69 (Merrill Lynch) ⇒ Sunamerica Focused Dividend Strategy	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: SX5E LIRN ISSUER RBC PART 145.50% S...					
Trust S ⇒ Wells Fargo Checking	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Trust W64 (Wells Fargo) ⇒ Argentine Peso / US Dollar (ARSUSD)	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Bank Deposit Sweep	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Micron Technology, Inc. (MU)	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Trust W64 (Wells Fargo) ⇒ Southwest Airlines Company (LUV)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Bank Deposit Sweep	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Cisco Systems, Inc. (CSCO)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Clayton Williams Energy, Inc. (CWEI)	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Micron Technology, Inc. (MU)	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Microsoft Corporation (MSFT)	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Southwest Airlines Company (LUV)	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust W66 (Wells Fargo) ⇒ Teradyne, Inc. (TER)	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Checking	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Savings		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

**SCHEDULE B: TRANSACTIONS**

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Farm Land 2/3 undivided interest in 89 acre  LOCATION: Eastland, TX, US	SP	03/27/2015	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ **CAPITAL WORLD BOND FUND: CL F1: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ **DREYFUS/LAUREL FUNDS INC: BOND MARKET INDEX FUND TR SHS: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ **JPMORGAN TR II: HIGH YIELD FD SELECT CL: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ **LEGG MASON GLOBAL ASSET MGMT: BW GLOBAL OPPRTY BD FD CL I: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ ISHARES CORE U S AGGREGATE BD: ETF: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ ISHARES MSCI EAFE VALUE ETF  LOCATION: US DESCRIPTION: ISHARES MSCI EAFE VALUE ETF	SP	07/9/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ ISHARES MSCI EAFE VALUE ETF: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL 1000 GROWTH: ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL 1000 VALUE ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL 2000 ETF: SOLICITED: AS OF	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
06/16/15					
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL MID CAP GROWTH: ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES RUSSELL MID CAP VALUE: ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ ISHARES S&P 500 GROWTH ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Chase & Co. (JPM)	SP	01/14/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JP Morgan Chase & Co. (JPM)	SP	01/14/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Reported trade in error should have reported as JPMORGAN TR I: FED MONEY MKT FD INSTL CL: SOLICITED: PROSPECTUS ENCLOSED					
SP E48 (Edward Jones) ⇒ JPMORGAN TR I: FED MONEY MKT FD INSTL CL: SOLICITED: PROSPECTUS ENCLOSED	SP	01/14/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN TR I: FED MONEY MKT FD INSTL CL: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ VANGUARD INDEX FUNDS: VANGUARD HIGH DIVIDEND YIELD: ETF: SOLICITED: AS OF 06/16/15	SP	06/17/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INTL EQUITY IND FD: FTSE ALL WORLD EX US FD ETF: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ VANGUARD TOTAL BOND MARKET ETF: SOLICITED: PROSPECTUS ENCLOSED: AS OF 06/16/15	SP	06/17/2015	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ **AMERICAN FDS DEVELOPING: WORLD GROWTH & INCOME FD CL A: 12/08/15 AT \$8.52/SHARE	SP	12/9/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Exchange to **AMERICAN FDS MONEY MKT FD: CL A: 12/08/15 AT \$1.00/SHARE					
SP E62 (Edward Jones) ⇒ AMERICAN FDS MONEY MKT FD: CL A: 12/08/15 AT \$1.00/SHARE	SP	12/9/2015	P	\$15,001 - \$50,000	
DESCRIPTION: Exchange from **AMERICAN FDS DEVELOPING: WORLD GROWTH & INCOME FD CL A: 12/08/15 AT \$8.52/SHARE					
SP E62 (Edward Jones) ⇒ AT&T Inc. (T)	SP	04/13/2015	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ BHP Billiton plc Sponsored ADR (BBL)	SP	12/8/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Federated Absolute Return	SP	04/7/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ FIRST TRUST GLOBAL DIVIDEND MONTHLY REINVESTMENT SERIES NUMBER 33	SP	04/23/2015	S	\$100,001 - \$250,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: Redemption FIRST TRUST GLOBAL DIVIDEND MONTHLY REINVESTMENT SERIES NUMBER 33					
SP E62 (Edward Jones) ⇒ FIRST TRUST GLOBAL DIVIDEND MONTHLY REINVESTMENT SERIES NUMBER 42	SP	05/8/2015	P	\$100,001 - \$250,000	
LOCATION: US					
DESCRIPTION: FIRST TRUST GLOBAL DIVIDEND MONTHLY REINVESTMENT SERIES NUMBER 42					
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery	SP	08/11/2015	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares	SP	08/11/2015	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ Franklin Rising Divs	SP	08/11/2015	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Hartford Healthcare	SP	04/13/2015	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value	SP	04/8/2015	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value	SP	04/7/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E62 (Edward Jones) ⇒ Trimble Navigation Limited (TRMB)	SP	04/13/2015	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ Trimble Navigation Limited (TRMB)	SP	12/8/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED  LOCATION: US DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER: MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED	SP	08/11/2015	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED: AS OF 11/23/15  LOCATION: US DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED: AS OF 11/23/15	SP	12/22/2015	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED  LOCATION: US DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER MONTHLY REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED	SP	07/9/2015	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED  LOCATION: US DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID: PORT SER 42 MONTHLY REINVEST: SOLICITED: PROSPECTUS ENCLOSED	SP	05/8/2015	P	\$100,001 - \$250,000	
SP E62 (Edward Jones) ⇒ UIT First Trust Series 21 Cap Strength  LOCATION: US DESCRIPTION: Redemption UIT First Trust Series 21 Cap Strength	SP	08/4/2015	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED	SP	04/8/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED	SP	11/27/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Redemption UIT FIRST TRUST: SER 18 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED:					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED	SP	04/8/2015	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: UIT FIRST TRUST: SER 24 INTL CAP STRENGTH PORT: SEMI ANNUAL REINVESTMENT: SOLICITED: PROSPECTUS ENCLOSED					
SP E62 (Edward Jones) ⇒ UIT VAN KAMPEN UNIT 967 S&P	SP	07/9/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: UIT VAN KAMPEN UNIT 967 S&P					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	09/2/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5221 Sabrient Bakers Dozen Port 20					
SP M36 (Merrill Lynch) ⇒ Ft Unit 5601 Sabrient Bakers Dozen	SP	09/2/2015	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: Ft Unit 5601 Sabrient Bakers Dozen					
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	03/26/2015	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M36 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	03/24/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Sale SX5E LIRN ISSUER RBC PART 145.50% S...					
SP M37 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	09/2/2015	P	\$15,001 - \$50,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: Ft Unit 5221 Sabrient Bakers Dozen Port 201					
SP M37 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	09/2/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5221 Sabrient Bakers Dozen Port 201					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	03/26/2015	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M37 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	03/24/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: SX5E LIRN ISSUER RBC PART 145.50% S...					
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	12/14/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	10/28/2015	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	01/13/2015	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Dallas Fort Worth Intl Airport 5% 11/01/2043	SP	06/22/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	12/14/2015	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ DJIA LIRN Issuer RBC PART 111.30%	SP	03/25/2015	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: DJIA LIRN Issuer RBC PART 111.30%					
SP M40 (Merrill Lynch) ⇒ DN BMO HARRIS BANK NA CALLABLE CD FEB 20 2029 CURRENT COUPON 3.00	SP	03/6/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: DN BMO HARRIS BANK NA CALLABLE CD FDIC INSD STEP% FEB 20 2029 ACCOUNT SETTLES 03/11/15 INSURED TO FDIC LIMIT CURRENT COUPON 3.00 THE COUPON OF THIS ISSUE SUBJECT TO INCREASE "STE PERIODICALLY TILL MATURI DETAILS UPON REQUEST YIELD TO CALL 3.40% @ 100.000 ON 02/20/24 0 DAYS INT ACTUAL/365 INTEREST DAY YLD TO RESET 3.40%					
SP M40 (Merrill Lynch) ⇒ Eagle Growth & Income	SP	12/14/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Eagle Growth & Income	SP	05/18/2015	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Eagle Growth & Income	SP	02/19/2015	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Endologix, Inc. (ELGX)	SP	12/22/2015	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ FT UNIT 5221 SABRIENT BAKERS DOZEN	SP	07/22/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: FT UNIT 5221 SABRIENT BAKERS DOZEN					
SP M40 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	01/14/2015	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: Unit Investment Trust					
SP M40 (Merrill Lynch) ⇒ FT Unit 5221 Sabrient Sale Price	SP	09/2/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: FT Unit 5221 Sabrient Sale Price					
SP M40 (Merrill Lynch) ⇒ FT Unit 5463 Sabrient Forward Looking	SP	12/14/2015	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: FT Unit 5463 Sabrient Forward Looking					
SP M40 (Merrill Lynch) ⇒ FT Unit 5463 Sabrient Fwd Looking SBFL3	SP	06/22/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: FT Unit 5463 Sabrient Fwd Looking SBFL3					
SP M40 (Merrill Lynch) ⇒ FT Unit 5601 SabrientBakers Dozen	SP	09/2/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: FT Unit 5601 SabrientBakers Dozen					
SP M40 (Merrill Lynch) ⇒ Hartford Growth Oppertunities	SP	05/18/2015	P	\$15,001 - \$50,000	
SP M40 (Merrill Lynch) ⇒ Hartford Growth Oppertunities	SP	05/18/2015	P	\$50,001 - \$100,000	
SP M40 (Merrill Lynch) ⇒ Hartford Growth Oppertunities	SP	12/14/2015	P	\$1,001 - \$15,000	
SP M40 (Merrill Lynch) ⇒ INDU BUF CAP PART NTS CAP 66.45% SV..	SP	03/6/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV 17,900.10 DUE 12/27/19 BUF 30.00%					
SP M40 (Merrill Lynch) ⇒ Ivy Asset Strategy	SP	02/19/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ JP Morgan US Equity	SP	12/14/2015	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Mainstay Marketfield	SP	02/19/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Marble Falls Tex CTPS 7.5% 02/01/2030	SP	06/25/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ North Tex Twy Auth Rev 5.50% 09/01/2041	SP	06/22/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
SP M40 (Merrill Lynch) ⇒ Nuveen Large Cap Core	SP	10/28/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M40 (Merrill Lynch) ⇒ R2000 C LIRN Issuer CAP 14.10%	SP	09/2/2015	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: R2000 C LIRN Issuer CAP 14.10%					
SP M40 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER HSBC STEP 25.00	SP	12/22/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC STEP 25.00					
SP M40 (Merrill Lynch) ⇒ SP500 ARN Issuer Bac Cap 10.65%	SP	09/2/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 ARN Issuer Bac Cap 10.65%					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC CAP 10.65% SV	SP	07/22/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 10.65% SV					
SP M40 (Merrill Lynch) ⇒ SP500 ARN ISSUER BAC CAP 11.16% SV	SP	10/28/2015	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 11.16% SV					
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 12.02%	SP	02/19/2015	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: SP500 C LIRN Issuer bac 12.02%					
SP M40 (Merrill Lynch) ⇒ SP500 C LIRN Issuer bac 14.54%	SP	09/23/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 C LIRN Issuer bac 14.54%					
SP M40 (Merrill Lynch) ⇒ SP500 SUNS ISSUER CIBC STEP 16.30%	SP	12/22/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: SP500 SUNS ISSUER CIBC STEP 16.30%					
SP M40 (Merrill Lynch) ⇒ Sun America Focused Dividend Fd	SP	12/14/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: SP500 SUNS ISSUER CIBC STEP 16.30%					
SP M40 (Merrill Lynch) ⇒	SP	03/26/2015	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SX5E LIRN ISSUER HSBC PART 118.00%				\$100,000	
LOCATION: US					
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M40 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%	SP	09/23/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%					
SP M40 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	03/24/2015	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: Sale SX5E LIRN ISSUER RBC PART 145.50% S...					
SP M81 (Merrill Lynch) ⇒ Abbott Laboratories (ABT)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ AbbVie Inc. (ABBV)	SP	06/8/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Accenture plc. Class A Ordinary Shares (ACN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Accenture plc. Class A Ordinary Shares (ACN)	SP	06/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Adobe Systems Incorporated (ADBE)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Akamai Technologies, Inc. (AKAM)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Akamai Technologies, Inc. (AKAM)	SP	12/15/2015	S	\$620.00	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alcoa Inc. (AA)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Alexion Pharmaceuticals, Inc. (ALXN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Alliance Data Systems Corporation (ADS)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN)	SP	04/21/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Amazon.com, Inc. (AMZN)	SP	04/20/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Tower Corporation (AMT)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ American Tower Corporation (AMT)	SP	05/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ameriprise Financial, Inc. (AMP)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Anthem, Inc. (ANTM)	SP	05/28/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	08/5/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Apple Inc. (AAPL)	SP	11/13/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Archer-Daniels-Midland Company (ADM)	SP	05/12/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML)	SP	02/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ASML Holding N.V. - ADS represents 1 ordinary share (ASML)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
SP M81 (Merrill Lynch) ⇒ Baxalta Incorporated (BXLT)	SP	10/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxalta Incorporated (BXLT)	SP	10/2/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxter International Inc. (BAX)	SP	06/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Baxter International Inc. (BAX)	SP	07/31/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Biogen Idec Inc. (BIIB)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ BlackRock, Inc. (BLK)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Boston Scientific Corporation (BSX)	SP	03/18/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Bristol-Myers Squibb Company (BMY)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	06/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Capital One Financial Corporation (COF)	SP	06/26/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cardinal Health, Inc. (CAH)	SP	08/26/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cardinal Health, Inc. (CAH)	SP	08/25/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ CBS Corporation Class B (CBS)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Celanese Corporation Celanese Corporation Series A (CE)	SP	06/8/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Celanese Corporation Celanese Corporation Series A (CE)	SP	07/2/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Celgene Corporation (CELG)	SP	06/8/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cerner Corporation (CERN)	SP	08/5/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cisco Systems, Inc. (CSCO)	SP	05/6/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ CIT Group Inc (CIT)	SP	02/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CIT Group Inc (CIT)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Coca-Cola Enterprises, Inc. (CCE)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Coca-Cola Enterprises, Inc. (CCE)	SP	02/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Comerica Incorporated (CMA)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConAgra Foods, Inc. (CAG)	SP	02/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ ConocoPhillips (COP)	SP	03/25/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConocoPhillips (COP)	SP	02/3/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ ConocoPhillips (COP)	SP	02/4/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Costco Wholesale Corporation (COST)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Costco Wholesale Corporation (COST)	SP	03/16/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cummins Inc. (CMI)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cummins Inc. (CMI)	SP	04/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cummins Inc. (CMI)	SP	06/4/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Cummins Inc. (CMI)	SP	12/7/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Cummins Inc. (CMI)	SP	12/22/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ CVS Health Corporation (CVS)	SP	11/19/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Danaher Corporation (DHR)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Delphi Automotive plc Ordinary Shares (DLPH)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Discover Financial Services (DFS)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dollar General Corporation (DG)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dollar General Corporation (DG)	SP	05/20/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dollar Tree, Inc. (DLTR)	SP	03/16/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dollar Tree, Inc. (DLTR)	SP	10/8/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dominion Resources, Inc. (D)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	06/8/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Dow Chemical Company (DOW)	SP	06/29/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ E.I. du Pont de Nemours and Company (DD)	SP	01/5/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ EMC Corporation (EMC)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ EMC Corporation (EMC)	SP	03/11/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ EOG Resources, Inc. (EOG)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ EOG Resources, Inc. (EOG)	SP	07/29/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Estee Lauder Companies, Inc. (EL)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Express Scripts Holding Company (ESRX)	SP	10/16/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM)	SP	09/25/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Exxon Mobil Corporation (XOM)	SP	10/6/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Facebook, Inc. - Class A (FB)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ FedEx Corporation (FDX)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒	SP	02/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ford Motor Company (F)			(partial)		
SP M81 (Merrill Lynch) ⇒ Ford Motor Company (F)	SP	11/4/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ford Motor Company (F)	SP	11/12/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Freeport-McMoRan, Inc. (FCX)	SP	01/14/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Freeport-McMoRan, Inc. (FCX)	SP	04/6/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Freeport-McMoRan, Inc. (FCX)	SP	04/16/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Dynamics Corporation (GD)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE)	SP	06/8/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Electric Company (GE)	SP	06/10/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	11/4/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ General Motors Company (GM)	SP	11/12/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ GlaxoSmithKline PLC (GSK)	SP	04/27/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Goldcorp Inc. (GG)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Google Inc. - Class C Capital Stock (GOOG)	SP	02/9/2015	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Hanesbrands Inc. (HBI)	SP	03/16/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ HCA Holdings, Inc. (HCA)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Honeywell International Inc. (HON)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ IDEXX Laboratories, Inc. (IDXX)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ IDEXX Laboratories, Inc. (IDXX)	SP	02/10/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Illumina, Inc. (ILMN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Intercontinental Exchange Inc. (ICE)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Intercontinental Exchange Inc. (ICE)	SP	02/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ International Business Machines Corporation (IBM)	SP	11/13/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Interpublic Group of Companies, Inc. (IPG)	SP	02/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Johnson & Johnson (JNJ)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ JP Morgan Chase & Co. (JPM)	SP	07/30/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Kinder Morgan, Inc. (KMI)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Kinder Morgan, Inc. (KMI)	SP	06/26/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Level 3 Communications, Inc. (LVLTL)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ LinkedIn Corporation Class A (LNKD)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ LinkedIn Corporation Class A (LNKD)	SP	10/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Macy's Inc (M)	SP	11/13/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Mallinckrodt plc Ordinary Shares (MNK)	SP	04/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Marsh & McLennan Companies, Inc. (MMC)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Martin Marietta Materials, Inc. (MLM)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ McDonald's Corporation (MCD)	SP	05/19/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ McKesson Corporation (MCK)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ McKesson Corporation (MCK)	SP	08/25/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Michael Kors Holdings Limited Ordinary Shares (KORS)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Microsoft Corporation (MSFT)	SP	02/11/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Mondelez International, Inc. - Class A (MDLZ)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ NextEra Energy, Inc. (NEE)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Nike, Inc. (NKE)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Noble Energy Inc. (NBL)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Norfolk Southern Corporation (NSC)	SP	06/12/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC)	SP	07/30/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC)	SP	07/31/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Northrop Grumman Corporation (NOC)	SP	08/7/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Oracle Corporation (ORCL)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Perrigo Company plc Ordinary Shares (PRGO)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Perrigo Company plc Ordinary Shares (PRGO)	SP	04/8/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Pfizer, Inc. (PFE)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Praxair, Inc. (PX)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Praxair, Inc. (PX)	SP	05/12/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Precision Castparts Corporation (PCP)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	10/2/2015	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
SP M81 (Merrill Lynch) ⇒ Procter & Gamble Company (PG)	SP	11/13/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ PVH Corp. (PVH)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ PVH Corp. (PVH)	SP	03/16/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ralph Lauren Corporation (RL)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Range Resources Corporation (RRC)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Raytheon Company (RTN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Regeneron Pharmaceuticals, Inc. (REGN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	02/18/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	07/30/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	11/13/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A)	SP	02/3/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ SanDisk Corporation (SNDK)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Sasol Ltd. American Depositary Shares (SSL)	SP	02/23/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒	SP	02/9/2015	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Schlumberger N.V. (SLB)					
SP M81 (Merrill Lynch) ⇒ Sherwin-Williams Company (SHW)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Solera Holdings, Inc. Solera Holdings, Inc. (SLH)	SP	09/15/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Splunk Inc. (SPLK)	SP	02/10/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Splunk Inc. (SPLK)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Starbucks Corporation (SBUX)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Starwood Hotels & Resorts Worldwide, Inc. (HOT)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Target Corporation (TGT)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tesla Motors, Inc. (TSLA)	SP	02/11/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Teva Pharmaceutical Industries Limited American Depository Shares (TEVA)	SP	02/9/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ The Priceline Group Inc. (PCLN)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ The Priceline Group Inc. (PCLN)	SP	11/6/2015	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX)	SP	12/10/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ TJX Companies, Inc. (TJX)	SP	12/11/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	07/30/2015	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	10/5/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Total S.A. (TOT)	SP	09/28/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Twenty-First Century Fox, Inc. - Class A (FOXA)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Tyco International plc (TYC)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Tyco International plc (TYC)	SP	07/28/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Ulta Salon, Cosmetics & Fragrance, Inc. (ULTA)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Under Armour, Inc. Class A (UA)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Union Pacific Corporation (UNP)	SP	02/9/2015	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ United Technologies Corporation (UTX)	SP	07/31/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ UnitedHealth Group Incorporated (UNH)	SP	02/9/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Valero Energy Corporation (VLO)	SP	04/2/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	02/11/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Verizon Communications Inc. (VZ)	SP	11/20/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒	SP	12/30/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Verizon Communications Inc. (VZ)			(partial)		
SP M81 (Merrill Lynch) ⇒ Vmware, Inc. Common stock, Class A (VMW)	SP	02/9/2015	S	\$1,001 - \$15,000	<input type="checkbox"/>
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT)	SP	09/1/2015	P	\$1,001 - \$15,000	
SP M81 (Merrill Lynch) ⇒ Wal-Mart Stores, Inc. (WMT)	SP	10/29/2015	P	\$1,001 - \$15,000	
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	01/12/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	04/23/2015	P	\$1,001 - \$15,000	
Trust M69 (Merrill Lynch) ⇒ Blackrock Global Allocation FD	SP	12/14/2015	P	\$1,001 - \$15,000	
Trust M69 (Merrill Lynch) ⇒ Davis NY Venture FD Cl A	SP	12/14/2015	P	\$1,001 - \$15,000	
Trust M69 (Merrill Lynch) ⇒ Eagle Growth & Income	SP	05/18/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ First Eagle Global	SP	01/12/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ First Eagle Global	SP	06/11/2015	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ First Eagle Global	SP	12/14/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	01/14/2015	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: Unit Investment Trust					
Trust M69 (Merrill Lynch) ⇒ Ft Unit 5221 Sabrient Bakers Dozen Port 2015	SP	09/2/2015	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Ft Unit 5221 Sabrient Bakers Dozen Port 201					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Trust M69 (Merrill Lynch) ⇒ FT Unit 5601 Sabrient Bakers Dozen  LOCATION: US DESCRIPTION: FT Unit 5601 Sabrient Bakers Dozen	SP	09/2/2015	P	\$50,001 - \$100,000	
Trust M69 (Merrill Lynch) ⇒ Hartford Growth Oppertunities	SP	12/14/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ Ivy Asset Strategy	SP	04/23/2015	S	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ JP Morgan US Equity	SP	12/14/2015	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ R2000 STEPUP ISSUER HSBC STEP 25.00  LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC STEP 25.00	SP	12/22/2015	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ SP500 C LIRN Issuer Barc Cap 12.1  LOCATION: US DESCRIPTION: SP500 C LIRN Issuer Barc Cap 12.1	SP	09/23/2015	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ SP500 CLIRNS ISSUER BNS CAP 16.40%  LOCATION: US DESCRIPTION: SP500 CLIRNS ISSUER BNS CAP 16.40%	SP	12/22/2015	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ Sunamerica Focused Div Strat Cl A	SP	01/12/2015	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%  LOCATION: US DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%	SP	03/26/2015	P	\$15,001 - \$50,000	
Trust M69 (Merrill Lynch) ⇒ SX5E LIRN ISSUER HSBC PART 118.00%  LOCATION: US DESCRIPTION: SX5E LIRN ISSUER HSBC PART 118.00%	SP	09/23/2015	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Trust M69 (Merrill Lynch) ⇒ SX5E LIRN ISSUER RBC PART 145.50% S...	SP	03/24/2015	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
LOCATION: US					
DESCRIPTION: Sale SX5E LIRN ISSUER RBC PART 145.50% S...					

\* Asset class details available at the bottom of this form.

### **SCHEDULE C: EARNED INCOME**

None disclosed.

### **SCHEDULE D: LIABILITIES**

None disclosed.

### **SCHEDULE E: POSITIONS**

<b>Position</b>	<b>Name of Organization</b>
Board Member - Lifetime	United Way of Midland, Texas
School of Business Advisory Council	University of Texas Permian Basin
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.
Sole Proprietor	K. Michael Conaway, CPA
Board of Directors - President	Conawell Corporation
Board of Directors - President	Conabain Corporation
Board of Directors - President	KMC, Inc.
Board of Directors - Vice President	Kidwell Exploration

### **SCHEDULE F: AGREEMENTS**

None disclosed.

### **SCHEDULE G: GIFTS**

None disclosed.

### **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Congressional Institute	01/14/2015	01/16/2015	Washington, DC - Hershey, PA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
AMCOT	08/2/2015	08/3/2015	Washington, DC - Denver, CO - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Congressional Prayer Caucus Foundation	03/6/2015	03/8/2015	Washington, DC - Charlotte, NC - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o Insurance Policies  
LOCATION: US
- o JT M06 (Merrill Lynch) (Owner: JT)
- o JT M39 (Merrill Lynch) (Owner: JT)  
LOCATION: US
- o JT W58 (Wells Fargo) (Owner: JT)  
LOCATION: US
- o JT W91 (Wells Fargo) (Owner: JT)
- o SP E48 (Edward Jones) (Owner: SP)  
LOCATION: US  
DESCRIPTION: Managed Account – Not self-directed
- o SP E62 (Edward Jones) (Owner: SP)  
LOCATION: US
- o SP E76 (Edward Jones) (Owner: SP)
- o SP M36 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- o SP M37 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- o SP M40 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- o SP M81 (Merrill Lynch) (Owner: SP)  
DESCRIPTION: Managed Account – Not self-directed
- o Trust M69 (Merrill Lynch) (Owner: SP)
- o Trust S (Owner: SP)
- o Trust W64 (Wells Fargo) (Owner: SP)

- Trust W66 (Wells Fargo) (Owner: SP)
- Wells Fargo  
LOCATION: US

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 08/11/2016