

Annual Report for Calendar 2015

The Honorable John Cornyn (Cornyn, John)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Self	Other (IRA Distribution)	UBS Inherited IRA Weehawken, New Jersey	\$8,493.75

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income</u>	
					<u>Type</u>	<u>Income</u>
1	Bank of America checking account (Austin, Texas) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
2	Bank of America savings account (Austin, Texas) Type: Savings,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
3	UBS	Brokerage/Managed Account	Self			
3.1	UBS Bank USA Deposit account (San Antonio, Texas) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
3.2	ANEFX - American Funds New Economy A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$2,501 - \$5,000
3.3	AAPL - Apple Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
4	UBS IRA (Spouse)	Retirement Plans IRA	Spouse			
4.1	UBS Bank USA Deposit account (San Antonio, Texas) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
4.2	SMCWX - American Funds SMALLCAP World A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
4.3	AGTHX - American Funds Growth Fund of Amer A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$1,001 - \$2,500
4.4	ANWPX - American Funds New Perspective A	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund,	\$201 - \$1,000
5	UBS Inherited IRA	Retirement Plans IRA	Self			
5.1	UBS Bank USA Deposit account (Inherited IRA) (San Antonio, Texas) <i>Type: IRA Cash Accounts,</i>	Bank Deposit	Self	None (or less than \$1,001)	Interest,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
5.2	SMCWX - American Funds SMALLCAP World A (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
5.3	AGTHX - American Funds Growth Fund of Amer A (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
5.4	ANEFX - American Funds New Economy A (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
5.5	ANWPX - American Funds New Perspective A (Inherited IRA)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
6	Employees Retirement System of Texas	Retirement Plans Defined Benefit Pension Plan	Self	\$50,001 - \$100,000	Other, (Retirement Distribution)	Other \$11,347.44
7	TX County and District Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$15,001 - \$50,000	Other, (Retirement Distribution)	Other \$8,764.44
8	Employees Retirement System of Texas	Retirement Plans Defined Benefit Pension Plan	Spouse	\$15,001 - \$50,000	Other, (Retirement Distribution)	Other \$8,327.64
9	Judicial Retirement System of Texas	Retirement Plans Defined Benefit Pension Plan	Self	\$50,001 - \$100,000	Other, (Retirement Distribution)	Other \$60,009.60
10	Hartford Life Insurance Co (Inherited Annuity) <i>Provider: Hartford Life Insurance Co</i>	Annuity Fixed	Self	\$1,001 - \$15,000	Other, (Annuity Distribution)	Other \$1,356.96
11	Hartford Life Insurance Co (Inherited Annuity) <i>Provider: Hartford Life Insurance Co</i>	Annuity Fixed	Self	\$1,001 - \$15,000	Other, (Annuity Distribution)	Other \$2,308.92

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
12	UBS (Spouse Separate Property)	Brokerage/Managed Account	Spouse			
12.1	UBS Bank USA Deposit Account (San Antonio, TX) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	None (or less than \$1,001)	Interest,	None (or less than \$201)
12.2	AMRMX - American Funds American Mutual A	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
12.3	ANCFX - American Funds Fundamental Invs A	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
12.4	AIVSX - American Funds Invmt Co of Amer A	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$5,001 - \$15,000
12.5	CAIBX - American Funds Capital Income Bldr A	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	08/21/2015	Self	AAPL	Apple Inc. (NASDAQ)	Purchase	\$15,001 - \$50,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Self	--	American Funds New Economy Fund	Purchase	10/19/2015	\$1,001 - \$15,000	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
2	Spouse	--	American Funds Ameriacn Mutual A	Purchase	02/10/2015	\$15,001 - \$50,000	--
3	Spouse	--	American Funds American Mutual A	Purchase	04/17/2015	\$15,001 - \$50,000	--
4	Spouse	--	American Funds American Mutual A	Purchase	08/19/2015	\$15,001 - \$50,000	--
5	Spouse	--	American Funds Fundamental Investors	Purchase	02/10/2015	\$15,001 - \$50,000	--
6	Spouse	--	American Funds Fundamental Investors	Purchase	04/17/2015	\$15,001 - \$50,000	--
7	Spouse	--	American Funds Fundamental Investors	Purchase	08/19/2015	\$15,001 - \$50,000	--
8	Spouse	--	American Funds Investment Company	Purchase	02/10/2015	\$15,001 - \$50,000	--
9	Spouse	--	American Funds Investment Company	Purchase	04/17/2015	\$15,001 - \$50,000	--
10	Spouse	--	American Funds Investment Company	Purchase	08/19/2015	\$15,001 - \$50,000	--
11	Spouse	--	American Funds Capital Income Builder	Purchase	02/10/2015	\$15,001 - \$50,000	--
12	Spouse	--	American Funds Capital Income Builder	Purchase	04/17/2015	\$15,001 - \$50,000	--
13	Spouse	--	American Funds Capital Income Builder	Purchase	08/19/2015	\$15,001 - \$50,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate	Amount	Creditor	Comments
					(Term)			
1	2010	Joint	Mortgage	0	4.375% (30)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-
2	2012	Joint	Mortgage	0	3% (15)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-
3	2014	Self	Revolving Charge	-	16.99% (On Demand)	\$15,001 - \$50,000	Citibank Sioux Falls, SC	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Apr 2009 to present	Trustee	MC Testamentary Trust San Antonio, TX	Other (Trust)	As trustee I hold no beneficial interest in the trust.
2	Jan 2013 to present	Other (Board Member)	Clements Center Statecraft Board Austin, Texas	Educational Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **No**

Attachments & Comments

No attachments added.

No comments added.